

Prognosis on recurrent stroke, functional outcome, and mortality : a comparative study of ischemic stroke subtypes

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PROGNOSIS ON RECURRENT STROKE, FUNCTIONAL OUTCOME, AND MORTALITY



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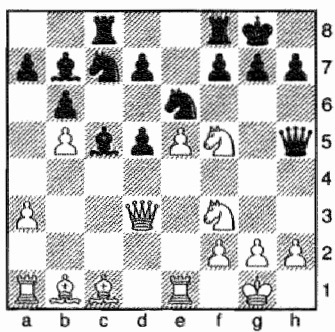
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games of the author. white to move.





PROGNOSIS ON RECURRENT STROKE, FUNCTIONAL OUTCOME, AND MORTALITY

A comparative study of ischemic stroke subtypes

PROEFSCHRIFT

ter verkrijging van de graad van doctor
aan de Universiteit Maastricht,
op gezag van de Rector Magnificus,
Prof. Dr. A.C. Nieuwenhuijzen Kruseman,
volgens het besluit van het College van Decanen,
in het openbaar te verdedigen op
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door

GOSSE DE JONG

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Prof. dr. M. Vermeulen (Universiteit van Amsterdam)



*the race is not to the swift,
nor the battle to the strong*

Ecclesiastes

Voor Geke, en onze jongens Jelle en Gosse

Voor mijn ouders, wier inspanningen en
opofferingen mijn studie mogelijk maakten



Contents



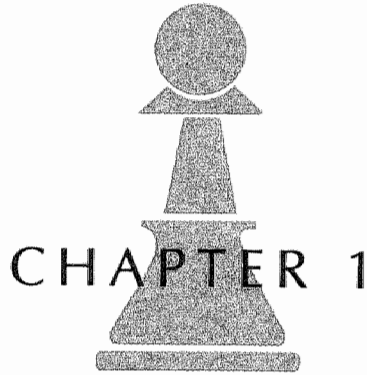
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List of abbreviations



adl	functional dependency as measure of stroke severity	mri	magnetic resonance imaging
aptc	anti platelet trialists collaboration	msr	maastricht stroke registry
asla	asymptomatic lacunar infarction	nascet	north american symptomatic carotid endarterectomy trial
at	atherothrombotic subtype	ocsp	oxford community stroke project
at-	atherothrombotic type without hypertension, diabetes, ihd, and ica	or	odds ratio
at+	atherothrombotic type with at least one of hypertension, diabetes, ihd or ica	paci	partial anterior circulation infarction
caprie	clopidogrel vs aspirin in patients at risk of ischemic events	pich	primary intracerebral hemorrhage
		poci	posterior circulation infarction
		rr	hypertension
		sah	subarachnoid hemorrhage
cast	chinese acute stroke trial	sd	standard deviation
ce	cardioembolic subtype	sten	stenosis
ci	confidence interval	sutc	stroke unit trialists collaboration
copd	chronic obstructive pulmonary disease	taci	total anterior circulation infarction
ct	computer tomography	tia	transient ischemic attack
dm	diabetes mellitus		
eaft	european atrial fibrillation trial		
ecst	european carotid surgery trial		
esps 2	european study prevention of stroke 2		
hr	hazard ratio		
ica	internal carotid artery		
ihd	ischemic heart disease		
ist	international stroke trial		
km	kaplan-meier		
la	leukoaraiosis		
laci	lacunar subtype		
laci-	lacunar infarct without asymptomatic lacunar lesions on ct		
Laci-	lacunar infarct without asymptomatic lacunar lesions or leukoaraiosis on ct		
laci+	lacunar infarct with one or more asymptomatic lacunar lesions on ct		
laci++	lacunar infarct with both asymptomatic lacunar lesion(s) and leukoaraiosis on ct		
lr	logistic regression		





INTRODUCTION



Stroke is a disease with a high incidence and prevalence. Each year there are about 30.000 new stroke cases in the Netherlands.^[13] Besides ranking third as death cause, stroke is also a major cause of disability, especially in the elderly. [43,54,103, 135,252,281] Stroke incidence will probably increase over the coming decennia, as the number of elderly people in our society will increase. [155,43,206,187,198,277] The economic burden stroke causes for society is enormous. [36,59,131] Not only patients, but caregivers as well suffer from stroke.^[74,239] Prognosis after a TIA or first ischemic stroke has improved due to the fact that in numerous clinical trials various secondary preventive therapies were proven to be effective, such as: anti-platelet drugs,^[1,14,77] oral anticoagulants in patients with atrial fibrillation,^[10] carotid surgery,^[5,12] and anti-hypertensive treatment. [4,9,160,161,185,186] Testing neuroprotection in acute stroke has so far been disappointing, but patient admittance to a specialised stroke unit was proven effective. [13,131,146,212,213] Also, thrombolysis as acute stroke therapy has largely gained acceptance as an effective means to improve prognosis, although the proportion of patients that qualify for this treatment will probably not exceed 10 percent. [8,54,100]

Considering the evidence on the efficacy of various acute treatments and preventive modalities, one may wonder what possible relevance a study on prognosis may have.

Well, first of all, it is by no means clear in what way the application of the various treatments affects prognosis in heterogeneous stroke populations, such as those that visit a general hospital. Clinical trial populations are usually rather homogeneous or at least exclude patients with certain characteristics systematically. For example, most secondary stroke prevention trials selected patients with TIA or stroke with only minor deficit. But for various other reasons, patients included in clinical trials do not invariably reflect stroke patients in general, whereas estimates on prognosis are relevant for all kinds of patients, their family, or both. Therefore, from the individual patient's perspective, prognosis is still important given a certain spectrum of therapies that have become common practice. An additional point that has received insufficient attention so far, is that when studying prognosis stroke subtypes, distinguished on the basis of likely underlying pathophysiology, should be taken into account, as this may largely determine prognosis. However, to what extent (ischemic) stroke subtypes are homogeneous



over time in this respect, is unclear, but again, important from the patient's perspective.

Besides this practical, clinical motive to perform a study on prognosis, there is a fundamental issue. Without doubt, the cause of stroke is a complex interaction between environmental factors (such as life style, for example), concomitant disease(s) such as hypertension and diabetes mellitus, and de-arrangements on a cell-biological level. The complexity of the problem might be reduced if stroke subtypes of more homogeneous underlying vascular pathology can be identified. Some years ago we hypothesized that lacunar stroke patients with concomitant silent small ischemic lesions constitute a separate stroke entity.^[40] In this thesis evidence is provided that such patients are prognostically different from lacunar stroke patients without silent lesions, which sustains the idea of a separate lacunar stroke entity. Numerically this group makes up approximately 10 percent of all ischemic strokes (see chapter 8). Thus, a rather small homogeneous ischemic stroke subtype may have been identified. A next step may be to look for differences on a cell-biological level between the different lacunar stroke types. Of course, differences found between subtypes in this study may have been affected or even come about by differential effects of the various applied therapies during follow-up, whereas, if so, we do not know in which direction associations have been influenced. So, prior hypotheses should be biologically plausible, in which case eventual differences may still be valuable. In any case, "natural history" studies in stroke patients without any therapy, although scientifically desirable, are not ethically acceptable.

So, the relevance of this thesis lies in its study of prognosis in a well defined, rather large patient sample, whereas it provides data that are clinically relevant and scientifically challenging, in that it may provide a rational basis to invoke further study into the nature of atherosclerotic disease of the cerebral and pre-cerebral vessels.





PATIENTS AND METHODS:

The Maastricht Stroke Registry



GENERAL ASPECTS

Patients included in the studies for this thesis have been registered in the Maastricht Stroke Registry (MSR), which is a prospective registry at the University Hospital of Maastricht of all stroke patients older than 18 years with symptoms lasting longer than 24 hours. Patients were registered prospectively and consecutively between July 1987 and March 1992. Last follow-up was completed in may 1995.

INVESTIGATIONS

All patients were examined as soon as possible after admission, or at the first outpatient clinic visit. Routine investigations included standard blood and urine analysis, a 12-lead electrocardiogram (ECG), a chest X-ray, non-invasive carotid studies (multi-gated pulsed doppler with spectral frequency analysis, duplex scanning, or continuous wave doppler) and a cerebral CT-scan or MRI. At the time of patient inclusion for this study, MRI was not available for regular use, so neuro-imaging data were based on CT. Echocardiography, 24-hours (Holter) monitoring, and cerebral angiography were performed in selected patients. Data were registered on standard forms.

DEFINITIONS

A CEREBRAL (SUPRA-TENTORIALY LOCATED) INFARCT OR ISCHEMIC STROKE was defined as rapidly evolving clinical signs of focal disturbance of cerebral function, lasting longer than 24 hours or leading to death, with no other apparent cause than a vascular dysfunction. CT-scan showed an area of low attenuation compatible with the clinical signs and/or the clinical symptoms, or was without a specific abnormality. In the absence of CT or autopsy data we used the Allen score (Guy Hospital Stroke Diagnostic Score) which is a clinical scoring system that has been validated in 2 different data-sets.^[21,60,233] It yields a probability of a stroke being due to infarction or haemorrhage using a combination of clinical signs and symptoms. A score lower than 4 predicts the ischemic cause of a stroke



with an accuracy of more than ninety percent. CT-scans were examined without knowledge of the clinical details, separately and independently by 2 neurologists. Four subtypes of ischemic stroke were distinguished: lacunar, atherothrombotic, cardioembolic infarcts, and infarcts of undetermined origin. Apart from these four types a separate group with rare causes, such as cerebral vasculitis, carotid artery dissection, fibromuscular dysplasia, coagulation disorders, *moya moya* disease, venous occlusion etc. was defined, but not included in this study because they were too heterogeneous to fit our study aim.

LACUNAR INFARCT was defined as an acute stroke syndrome with a CT-lesion compatible with the occlusion of a single perforating artery, consisting of a subcortical small sharply demarcated hypodense lesion with a diameter less than fifteen millimeter. If no such lesion was visible or if no CT was performed we used the established criteria of unilateral motor- and/or sensory signs that involved the whole of at least two of the three body parts (face, arm, leg) without disturbance of consciousness, visual fields, language or other cortical functions. We distinguished four lacunar syndromes: pure motor stroke (PMS), sensorimotor stroke (SMS), pure sensory stroke (PSS) and atactic hemiparesis / dysarthria clumsy hand syndrome (AH/DCHS).^[34]

ATHEROTHROMBOTIC INFARCT was defined as an acute stroke syndrome with CT findings compatible with infarction involving the cortex. If no such lesion was present or if no CT was performed we used established clinical criteria consisting of unilateral motor and/or sensory symptoms in combination with signs of disturbance of consciousness, visual fields, language or other cortical functions. Also, patients with an isolated monoparesis were included as well as patients with incomplete involvement of two body parts, or with isolated cortical dysfunction (mostly aphasia). Apart from this, patients had no evidence of an existing source of cardiac embolism. Patients with a large subcortical infarct (striatocapsular or large deep infarct) were included in this group because of probable similar pathogenesis.

INFARCT OF UNDETERMINED ORIGIN (AT-) was defined in patients fulfilling the criteria for atherothrombotic infarct but with no evidence of diabetes mellitus, hypertension, ischemic heart disease or signs of ipsilateral significant (> 50%) carotid stenosis, or if carotid ultrasound or radiological investigations were not performed. Patients with this stroke subtype were similar to atherothrombotic patients with respect to other baseline characteristics and every outcome measure



we used. Therefore, in this thesis data for this subtype will not be mentioned separately, and this subtype will be included in the atherothrombotic subtype.

CARDIOEMBOLIC INFARCT was diagnosed in the case of an acute stroke syndrome with CT findings compatible with infarct involving the cortex or a cortical stroke syndrome if CT showed no specific abnormalities or if CT was not performed, in the presence of atrial fibrillation, a recent myocardial infarct (less than 6 weeks), prosthetic valves, endocarditis, cardiomyopathy, mitral stenosis, left ventricular aneurysm or intracardiac thrombus. In the case of one of these abnormalities and co-existing large vessel atherosclerosis patients were classified as cardioembolic. Patients with a large subcortical infarct (striatocapsular infarct) were included in this group because of probable similar pathogenesis. Patients with stroke fitting the criteria for lacunar infarction were classified as lacunar, despite the presence of a potential cardioembolic stroke source.

VASCULAR RISK FACTORS

Apart from *age* and *sex* the following vascular risk factors were recorded:

HYPERTENSION was defined as known, treated hypertension or at least two blood pressure recordings higher than 160/90 mm Hg before stroke or later than one week after stroke.

DIABETES MELLITUS was defined as known, treated diabetes or either fasting serum glucose >7 mmol/l or a postprandial serum glucose level >11 mmol/l on at least two separate occasions before or after stroke, but not in the acute phase of stroke (the first 72 hours).

ISCHEMIC HEART DISEASE was defined as known or treated angina pectoris, or the presence of an old myocardial infarction (older than 6 weeks), or typical ECG-changes of myocardial ischemia.

SIGNIFICANT CAROTID STENOSIS was defined as a diameter reduction of more than 50% of the internal carotid artery, documented on non-invasive investigation with ultrasound or angiography.



OTHER DEFINITIONS

HANDICAP was assessed using the modified Rankin score. This is a clinical handicap score assessing interference with lifestyle and with independent living. It is a well-known and frequently used outcome measure in stroke research and should be viewed as a global functional health index with a strong emphasis on physical disability.^[71,72,265] In our studies, we used a modified Rankin score instead of a specific stroke scale to measure initial stroke severity. Although we realized that the Rankin scale was not designed to measure the degree of functional handicap in the acute stroke phase, because of its familiarity, and convenience in application, we decided to use this scale. Using the Rankin scale, the following grades were distinguished:

Grade 0 = no symptoms

Grade 1 = minor symptoms which do not interfere with lifestyle

Grade 2 = symptoms which lead to some restriction in lifestyle but do not interfere with the patient's capacity to look after him/herself

Grade 3 = symptoms which significantly restrict life style and prevent totally independent existence

Grade 4 = symptoms which clearly prevent independent existence, though the patient does not need constant attention

Grade 5 = totally dependent on others, requiring constant attention night and day

Grade 6 = death

We dichotomised the results in two categories: functionally independent (Rankin 0,1,2 or 3) and functionally dependent (Rankin 4 or 5) for ultimate statistical analyses in the study of functional outcome. These distinctions were made to facilitate analysis using the Rankin score as a measure of overall functional handicap. These clustered categories are relevant from a functional point of view, whereas significant differences in overall functional handicap may insufficiently be reflected in the separate consecutive grades.

CHRONIC OBSTRUCTIVE PULMONARY DISEASE was defined as known history of or treatment for this entity.

LEUKOARAIOSIS was defined as (a) focal or diffuse hypodensitie(s) in the periventricular or deep white matter, not involving the cortex, and with ill-defined margins to distinguish them from infarction.



RECURRENT STROKE was defined as rapidly evolving clinical signs of focal disturbance of cerebral function lasting longer than 24 hours or leading to death with no apparent other cause than a vascular dysfunction. Information regarding stroke symptoms, severity, and brain area following any recurrent stroke was obtained, including the Rankin score.

There had to be evidence of either a new neurological deficit or exacerbation of a pre-existent deficit that could not be ascribed to a side effect of drug therapy or intercurrent illness. Patients suffering deterioration in activities of daily function without such new deficits were not registered as recurrent stroke cases. Also, new asymptomatic lesions on any repeat CT-scan were not included. The subtype of the recurrent stroke was defined using CT scan, or on the ground of clinical information alone if no CT was performed. For the different ischemic stroke types the same definitions as for the first event were used.

Intracerebral hemorrhage (PICH) was recorded as a recurrent stroke if a CT-scan showed a hyperdense lesion, radiologically compatible with an intracerebral hematoma, or if the clinical picture was strongly suggestive for intracranial hematoma if no scan was made. These were mainly patients who died within two days of stroke onset with signs of transtentorial herniation but without observed initial focal abnormalities, or who had severely depressed consciousness with reported headache, vomiting, or both, directly following stroke onset. [31,33,93,242,257] Furthermore, we ascertained use of anticoagulants in case of PICH as recurrent stroke type. None of the patients with this recurrent stroke type used anticoagulants.

We performed a **CROSS SECTIONAL FOLLOW-UP** of every patient in our registry. No patient was lost to follow-up. Data of patients readmitted in our hospital after a recurrent stroke were obtained. Data of patients still regularly visiting the outpatient department were recorded. If patients no longer visited the outpatient department, our first step was a telephone interview of the patient's general practitioner. Information was obtained using a standard telephone interview. If the patient's general practitioner was unknown, or if the patient had moved out of the Maastricht area, we interviewed the patient by telephone, or the patient's relatives. Also, we visited nursing homes if the patient was admitted to such an institution, including interviews with the attendant physicians.

DETAILS ON THE CAUSE OF DEATH OF PATIENTS who died during the study period were collected. Cause of death was defined as due to the first stroke,



recurrent stroke, a myocardial infarct, other cardiac disease, non-cardiac vascular events, pulmonary disease, cancer, any other specific cause of death, or as unknown when no data were available. Vascular death was defined as death due to first or recurrent stroke, myocardial infarction, other cardiac disease, other vascular disease, or sudden death.

STATISTICAL EVALUATION

For age, we created three categories: 18–67 years, 67–76 years, and older than 76 years. To compare differences between subtypes in univariate analyses we used Chi square statistic expressed as odds ratios (OR), with 95% confidence intervals with Yates correction for small numbers (CI), and in case of statistical significant difference a p-value was determined. We determined 30-day, one-year, and total mortality; and stroke recurrence on these same intervals for the whole group, and for the separate subtypes (actual and Kaplan–Meier estimates). To determine significant independent predictors for 30-day and one-year mortality, and 30-day and one-year stroke recurrence, we used multivariate logistic regression analyses (enter method) with odds ratios (OR), 95% confidence intervals (CI), and p-values in case of statistical significant associations. For 30-day and one-year data we censored for shorter follow-up. For total mortality, and stroke recurrence we used time-dependent Cox proportional hazard analyses with hazard ratios (HR), 95% confidence intervals (CI), and p-value in case of statistical significant associations. We used Kaplan–Meier survival analyses with log rank test for statistical significance to compare survival curves between the subtypes, for both mortality and stroke recurrence (survival free of stroke). We measured functional outcome (modified Rankin scale) for the whole group, and for the separate subtypes, and determined significant independent predictors for unfavourable functional outcome (logistic regression analysis). Stroke recurrence was ascertained for all patients, with type of recurrence verified by CT in 61%. For patients without CT verification of a recurrent stroke, we used clinical characteristics to distinguish between infarct and hemorrhage, as described earlier. Apart from this, we are not aware of studies who defined their stroke recurrence in a more thorough way than we did. Specifically, to the best of our knowledge, we are not aware of any



table 2.1 baseline characteristics Maastricht Stroke Registry

number: all	998	(100)
number: laci	339	(34)
number: at	435	(44)
number: ce	224	(22)
mean age in years	71	
follow-up (mean, sd) days	691	(521)
follow-up (mean, sd) survivors days	881	(465)
dm	203	(20)
ihd	263	(26)
rr	449	(45)
carotid stenosis	152	(21)
missing	273	
asymptomatic laci	224	(23)
missing	37	
asymptomatic cortical infarct	61	(6)
missing	37	
leukoaraiosis	235	(25)
missing	38	
copd	103	(10)
missing	1	
atrial fibrillation	234	(23)
cardiac source of embolism	274	(27)
30 day mortality	101	(10)
30 day recurrent stroke	20	(2)
one year mortality	240	(24)
one year recurrent stroke	91	(9)
mortality during follow-up	361	(36)
recurrent stroke during follow-up	138	(14)
functionally dependent after stroke onset	519	(52)
functionally dependent at end of study*	101	(16)

numbers are absolute numbers; numbers in brackets are percentages of numbers censored for missing values. unless indicated otherwise; *percentage of survivors



study of other stroke registries that have done CT or have reported CT to any extent in cases of recurrent stroke.

PATIENT CHARACTERISTICS

There were 998 patients with a first ever ischemic stroke. In table 2.1 the baseline characteristics for the whole group are given. The distribution in the subgroups was: LACI 339, AT 435 (AT+ 346, AT- 89), and CE 224 patients (table 2.1). Duration of follow-up was 691 days (mean, SD 521) for the whole group, and for those surviving 881 days (mean, SD 465) (see table 7.1). CT was performed in 961 (96%), on the day of onset in 153 (16%), within one week of onset in 597 (62%), within three weeks in 877 (91%). There were 138 recurrent strokes, in 84 (61%) cases of which CT after recurrence was performed. Following recurrence, CT was made on the day of onset in 9 (11%), within the first week in 31 (37%), and within three weeks in 65 (77%). During the follow-up period, a total of 361 (36%) patients died. Immediately after stroke onset, 519 (52%) were functionally dependent. At the end of the study, 101 of 637 (16%) surviving patients were functionally dependent.

DISCUSSION

This study includes only patients with a first-ever cerebral infarct. Infarcts in the brainstem and cerebellum were left out from the study, mainly because not only signs and symptoms of such infarcts may vary widely, but also because elucidation of the underlying cause is difficult in such infarcts.^[39,50] They are, therefore, more heterogeneous than supra-tentorially located infarcts. Infarcts in the brainstem and cerebellum make up about 10–15 percent of all brain infarcts, ^[30,50,117,168,182,285] and therefore, in an analysis on stroke subtypes they constitute a rather small group, which may allow only rough estimates on the features studied in this thesis. On the other hand, some of the lacunar infarct patients, especially those without a symptomatic lesion on CT, might have had the symptomatic lesion in the brainstem.^[116] Infarcts in the area of the posterior cerebral artery could be considered as vertebro-basilar artery territory infarcts, an be



subjected to the same reservation as made above with regard to brainstem or cerebellar infarcts in general. However, ACP infarcts may also be caused by thromboembolism in the anterior circulation area.^[258,259,261] Again, from a pragmatic point of view these infarcts were included in the present study. It is unlikely that this caused major bias with regard to the eventual conclusions, as posterior circulation infarcts exclusively located above the tentorium, make up only a small proportion of all brain infarcts, approximately 5%,^[30,182] whereas in approximately 30 percent the ACP is supplied by the anterior circulation.^[258,259,261]

Lacunar infarcts, even in the presence of significant large artery disease, such as an ipsilateral ICA stenosis of more than 50%, or a potential cardiac source of embolism, were grouped in the lacunar stroke type. Especially in the presence of such alternative stroke causes, one can not be completely certain about the definite cause of a lacunar stroke in an individual stroke patient. Lacunar stroke patients less often have pre-cerebral vessel obstruction or a source of cardiogenic embolism than territorial infarcts. Therefore, such potential, alternative stroke causes could be considered as coincidental to small vessel disease, rather than causal.^[153,154,156,188,251,260] In this thesis lacunar strokes were grouped together, without considering the presence of an alternative stroke cause. However, in the analyses we accounted for the presence of eventual concomitant stroke causes in the lacunar infarct patients.

Carotid ultrasound investigations could not be performed in 27 percent of the patients. These were mainly patients with more severe deficit, and those with high age. Frequently, the patient's attending physician did not consent on these investigations, because it was considered too burdening for the patient, whereas findings would not influence clinical decision or treatment in any way. Patients with undetermined stroke cause who did not undergo carotid ultrasound investigations might, therefore, have had large vessel disease. Therefore, in the eventual analyses they were considered to have large vessel disease. Besides, there were no arguments to consider them pathologically distinct from large vessel AT. On the other hand, had we been able to investigate all patients with "undetermined cause" sufficiently, a group without any signs of atherosclerosis apart from the fact that they suffered a brain infarct, and without any of the classical vascular risk factors would have been an interesting group.^[49] What basically determines the development of brain infarction in such patients may differ from patients with one or more of the classical vascular risk factors. However, one has to realise that



any such difference might be relative rather than absolute, as we investigated a limited number of vascular risk factors and manifestations of atherosclerosis. Besides, new risk factors may be discovered as time goes by, as may specific stroke causes, such as atheromatosis of the ascending aorta.^[3]

The infarct subtypes as we defined them in this study were in accord with those used in the literature.^[19,33,130,138,167,182,268] The distinction of these subtypes is based on the assumption that they are homogeneous as to the underlying stroke cause. This distinction, furthermore, enables comparison with other studies. Depending on the underlying stroke cause, prognosis may vary, and so may the impact of any measures to improve prognosis. Apart from this clinical perspective, future studies into the cause of stroke on a more basic level may be facilitated by the distinction of different ischemic stroke entities that are homogeneous in vascular pathology and prognosis.

The fact that the study is hospital-based and not community-based may imply limitations as to the generalisability of its results. On the other hand, community-based studies may lack certainty of a clinico-pathological relationship, especially when cases are not examined by a neurologist, or when a substantial proportion of patients lacks neuroimaging. Even when CT or MRI has been performed in all patients in a community-based study, but patients were not examined by a neurologist, such study may be biased towards inclusion of patients with asymptomatic lesions. The present hospital-based series contains patients who were all examined by a neurologist, whereas CT was missing in only a few (4%). Case ascertainment, therefore, especially with regard to subtype diagnosis, may be more valid in hospital than community-based series.

Compared to various other studies on prognosis following stroke, our series has a rather high proportion of patients who underwent CT following a recurrent stroke, 61% percent. Therefore, ascertainment as to the characterisation of recurrent stroke subtype is rather reliable in our study. However, the reliability of the clinical information on which the decision on a recurrent stroke is based, is of paramount importance, especially when the patient has not been admitted to hospital. The way this information is obtained may be criticised in case a patient is not admitted. However, telephone interview of the patient, his or her relatives, or general physician to ascertain stroke has been shown to be reliable. Also, the use of the modified Rankin scale by telephone has been shown to be reliable,

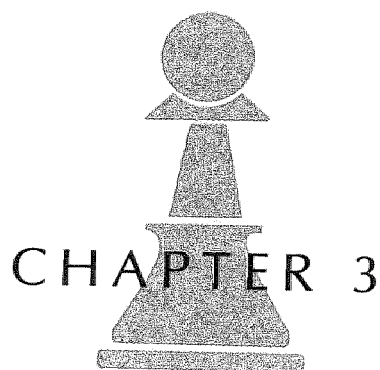


whereas general physicians have been shown to be a very reliable source of clinical information.^[31]

A drawback of the study is that the follow-up was essentially cross-sectional. Because of this, as time of death marked the end of our follow-up, the calculated duration of this follow-up period is shorter compared to the same period if other methods are used. Naturally, we circumvented part of this problem by using time dependent analyses (Cox proportional hazard and Kaplan-Meier methods) when appropriate. In order to obtain the most reliable information, all patients should be seen at regular and pre-specified time intervals. However, in a disease like stroke many patients are physically and/or mentally disabled and unable or unwilling to comply with rigid methodological requirements. Pragmatically, though, one should not decline to attempt the study of relevant clinical questions for the sole reason that the answers may have a certain degree of uncertainty. One of the endpoints in the study was mortality, which is a definite and clearly verifiable event. Recurrent stroke diagnosis may have been less valid, but nevertheless, our study is one of the best in its kind in the ascertainment of recurrent stroke and especially recurrent stroke subtype (see chapter 3 and 7). Establishing the degree of functional handicap using the Rankin scale either in face-to-face contact with the patient or by telephone is also a valid method. Therefore, study endpoint ascertainment has unlikely been subjected to any major bias in whatever direction.

How the study methods and the patient's basic characteristics compare to other studies on prognosis following stroke, is discussed in the next chapter.







BASELINE CHARACTERISTICS OF 998 ISCHEMIC STROKE PATIENTS IN THE MAASTRICHT STROKE REGISTRY:

Comparison with other stroke registries



INTRODUCTION

Data of the 998 ischemic stroke patients that constitute the basis of the studies described in this thesis, were collected in the Maastricht Stroke Registry (MSR). At the time these patients were included, the MSR registered only first-ever ischemic stroke patients in whom the lesion was most likely located in the supratentorial region of the brain. The main reason at that time was that one of the major aims was to study various aspects of rather homogeneous stroke sub-types. Because stroke, and even ischemic stroke in the so-called posterior circulation (vertebro-basilar area) constitute a rather heterogeneous group,^[39,50,285] they were not included in our study. Another consideration was that large vessel disease in the vertebro-basilar system may not a priori be similar in various aspects to large vessel disease in the carotid territory. For example: a territorial infarct in the internal carotid artery territory is, in the presence of a potential cardiac source of embolism, considered as caused by an embolus from the heart. For infratentorial infarcts, there is less consensus on this issue.^[32,50,117,182] Although these would in itself be interesting questions to study, it was not the aim of this thesis. Besides, numerically, infarcts in the vertebro-basilar system constitute only about 10–15 percent of all brain infarcts, and therefore very large stroke numbers would be required to allow reliable conclusions with respect to various aspects of these infarcts. The more so, since they are quite heterogeneous as to cause and clinical manifestation. So, there was also a pragmatic reason not to include vertebro-basilar infarcts. On the other hand, we include occipital located infarcts, whereas it is not clear how often these result from posterior or anterior circulation obstruction, with approximately ten to thirty percent of occipital infarcts being caused by anterior circulation obstruction.^[258,259,261]

Ideally, a clinical-epidemiological study on stroke should be performed in the community. However, to eventually obtain sufficient numbers of strokes to allow reliable estimates, especially when accounting for stroke subtypes, would require a very large population to be studied for a considerable period. A pragmatic alternative is a hospital-based study, but such study may contain considerable bias, especially when the study hospital is not the only hospital in the area where patients come from. In such case, differential referral patterns may exist between hospitals, which create bias. This is, without doubt, most likely the case with university hospitals, as in general these act as tertiary referral centres.



Although the Maastricht Hospital is a university hospital, such referral bias is unlikely. First of all, the hospital serves a well-defined area, with a population of almost 200,000 people, and is the only hospital for that region. Furthermore, besides being a secondary and tertiary referral centre, it also acts as primary care facility for the Maastricht area. Therefore, general practitioners refer acute stroke patients in the area to our hospital. Traditionally, there has been a high preference of the local population in favour of the Maastricht Hospital over other hospitals outside this area. In addition, referral of strokes from outside the Maastricht area is very unusual, and confined to young stroke patients. We did not register patients younger than eighteen years. In addition, we did not include patients from outside the Maastricht area in our stroke data base. Therefore, we think that a referral bias in our study is rather unlikely.

Whether results from a hospital-based stroke study can be generalised could also be a problem if a large number of strokes, or certain stroke subtypes, would not be admitted. First of all, we included both patients admitted, and those that visited the outpatients clinic, thereby preventing bias of the data set towards more severe strokes. We certainly missed stroke patients who died before reaching the hospital, but most of these suffered probably SAH, PICH, or a brainstem infarct, and these were a priori not included in the study. Patients not even visiting the outpatients clinic might rather have had remitting symptoms, such as TIA, who were also not included. So, major bias towards inclusion or exclusion of certain stroke categories is not likely. Comparison with other stroke registries, especially those that are community-based, could further sustain this, and strengthen the validity of our study.

In this chapter, therefore, we present the baseline characteristics of our study population, and make comparisons with various stroke data bases.

PATIENTS AND METHODS

Patients included in the studies for this thesis had been registered in the Maastricht Stroke Registry (MSR), which is a prospective registry at the University Hospital of Maastricht of stroke patients older than 18 years with symptoms lasting longer than 24 hours. Patients were registered prospectively and consecutively between July 1987 and March 1992. Last follow-up was completed in May 1995 (See chapter 2 for detailed description).



RESULTS

Table 3.1 shows the baseline characteristics for our study population, for the whole group as well as for the separate stroke subtypes. Table 3.2 compares the distribution of the ischemic stroke subtypes in our registry with data from the literature. In this table, data from other registries were recalculated to express data on stroke subtypes in percentages of the total number of ischemic strokes with exclusion of PICH and SAH. The unknown or uncertain subtype was used only if PICH and SAH were not included in this subtype. CT verification of stroke subtype varied widely between studies. Fourteen studies distinguished between AT, LACI, and CE, although definitions varied between studies. Some studies distinguished stroke of undetermined cause, although here also, definitions varied. The numbers of AT show a wide range. Studies that distinguished stroke with undetermined cause, had the lowest AT number. The number of AT patients in our study complies with the mean AT number of the fourteen studies. We had more LACI patients than any other study, whereas the number of CE complies with the mean number of CE patients in the other studies.

Table 3.3 shows the data on 30-day and one-year mortality, and 30-day and one-year stroke recurrence, in comparison with data from the literature. There were only two studies that, besides ours, measured 30-day and one-year stroke recurrence rate and mortality. The data on these features were rather similar in the three studies.

Table 3.4 shows 30-day and one-year stroke recurrence rate and mortality in ischemic stroke subtypes. Apart from our study, only the Rochester study presented these data. The two studies differed most in regard to the numbers in the AT stroke type: the Rochester study found a much higher early and one-year stroke recurrence rate in AT patients, whereas one-year mortality was lower than half of that in our study. One of the reasons for the high early recurrence rate in the AT type in Rochester may be the mandatory presence of carotid artery stenosis in this type, apart from a considerable percentage of early recurrence being caused by investigative procedures.^[202] Figures on CE stroke type were rather similar between studies. When we compare our figures with those of studies which only measured death rates, findings were rather similar as well.



table 3.1 baseline characteristics for the maastricht stroke registry: all patients and the ischemic subtypes

	all		laci		at		ce	
number	998		339 (34)		435 (44)		224 (22)	
age in years	71		69		71		75	
dm	203	(20)	65	(19)	86	(20)	52	(23)
ihd	263	(26)	70	(21)	86	(20)	78	(35)
rr	449	(45)	160	(47)	182	(42)	107	(48)
carotid stenosis	152	(21)	26	(10)	115	(33)	11	(10)
missing	273		74		85		114	
asymptomatic laci	224	(23)	104	(31)	85	(20)	35	(16)
missing	37		6		20		11	
asymptomatic cortical infarct	61	(6)	14	(6)	29	(7)	18	(9)
missing	37		6		20		11	
leukoaraiosis	235	(25)	96	(29)	93	(22)	46	(22)
missing	38		7		20		11	
copd	103	(10)	40	(12)	39	(9)	24	(11)
missing	1		1					
atrial fibrillation	234	(23)	43	(13)			191	(85)
cardiac source embolism	274	(27)	50	(15)			224	(100)
30 day mortality	101	(10)	7	(2)	43	(10)	51	(23)
30 day recurrent stroke	20	(2)	3	(1)	10	(2)	7	(3)
one year mortality	240	(24)	46	(13)	105	(24)	89	(40)
one year recurrent stroke	91	(9)	30	(9)	37	(9)	24	(10)
mortality during follow up	361	(36)	88	(26)	156	(36)	117	(52)
recurrent stroke during follow up	138	(14)	49	(14)	53	(12)	36	(16)
functionally dependent at stroke onset	519	(52)	105	(31)	261	(60)	153	(68)
functionally dependent end of study	101	(16)	18	(7)	61	(22)	22	(21)

numbers are absolute numbers; numbers in brackets are percentages



table 3.2 distribution of ischemic stroke types in selected stroke registries

study	author	number	w/b/o	at	lac	emb	ce	iuc	year	ct	fe/nfe	h/p
austin	chambers	616		47	25		16	12	1983	?	nfe	h
pilot sdb	kunitz	708	53/47/0	24	14	28		33	1984	90	nfe	h
ninds sdb	foulkes	1273	42/58/0	14	27		19	40	1988	98	nfe	h
taiwan	yip	676		17	29		20	34	1997	?	nfe	h
manhattan	sacco	323	26/40/34	15	26		18	40	1994	?	nfe	h
ege	kumral	1529		42	13		27	18	1998	100	fe	h
lehigh	alter	662	95/2/3	15	10	24		51	1993	99	nfe/fe	h
dijon	giroud	984		62	23			15	1991	89	fe	p
lausanne	bogousslavsky	1000		57	19		24		1988	100	fe	h
perth	anderson	492		74	8	17			1994	86	nfe/fe	p
framingham	sacco	394		78			22		1982	?	fe	p
harvard	mohr	579		40	23	37			1978	?	nfe	h
tilburg	herman	526		88					1980	33	nfe	p
alabama	gross	160		7	15		12		1984	80	nfe	h
sepivac	ricci	375		80	20		31	47	1991	70	fe	p
ocsp	bamford	675		61	25		14		1988	88	fe	p
athene	vermos	1042		18	21		39	22	2000	100	fe	h
barcelona	marti-vilalta	3577		52	15		23	10	1999	100	fe	h
copenhagen	jorgensen	1138							1991	83	nfe	p
maastricht	lodder	998		44	34		22		2000	96	fe	h
warsaw	clonkowska	462		41	28		26	5	1994	72	fe	p
belluno	lauria	474							1995	90	fe	p
aosta	d'alessandro	254							1992	81	fe	p
besancon	moulin	1776		52	17		31		2000	100	fe	h
rochester	petty	442		17	16		30	37	2000	98	fe	p

w/b/o: white/black/other; iuc: infarct uncertain cause; fe/nfe: first ever/not first ever; h/p: hospital based/population based;
 emb: embolic infarct. ocsp: laci 25, taci 17, paci 34, poci 24; sepivac: laci 20, taci 15, paci 56, poci 8



table 3.3 30-day and one year mortality. and 30-day and one-year recurrent stroke in the MSR compared with data from the literature

study	d30	r30	d1yr	r1yr
msr	10	2	24	9
framingham	15			
dijon	23			
rochester	14	4	27	12
ocsp	10		23	
malmo	10			
aosta	13	2		
belluno	26	2		
perth	12		26	
ege	17			
barcelona	12			
sepivac	10			
sdb		3		
copenhagen	13			
lehigh				9
besancon	14			
erlangen	12		30	
manhattan	8	6	22	12
warsaw				11

numbers are percentages; data concern ischemic index stroke. d30: 30 day mortality; d1yr: one year mortality; r30: 30 day recurrent stroke; r1yr: one year recurrent stroke



table 3.4 30-day and one-year mortality, and 30-day and one-year recurrent stroke for the ischemic subtypes: Maastricht Stroke Registry compared with the literature

study	laci				at				ce			
	d30	r30	d1yr	r1yr	d30	r30	d1yr	r1yr	d30	r30	d1yr	r1yr
msr	2	1	13	9	10	2	24	9	23	3	40	11
framingham							46		16		70	
dijon	10		13									
rochester	0	4	3	10					23	2	54	5
rochester	1	1	7	7	8	19	11	24	30	5	53	14
ocsp	1	2	10	12								
perth	0		14		11		25		26		41	
lausanne	1				5				5			
ege	2				18				25			
barcelona	0				15				16			
sepivac	0											
sdb	1	2			13	8			11	4		
boiten	2		15		12		28	2				
lehigh			9									
besancon	3											
athene	1				7				26			
shatin	2				21							

numbers are percentages; d30: 30 day mortality; d1yr: one year mortality; r30: 30 day recurrent stroke; r1yr: one year recurrent stroke

DISCUSSION

Quite a number of studies distinguished between different ischemic stroke subtypes in patients with a first-ever ischemic stroke. Numbers varied between studies due to differences in definitions, patient inclusion, stroke type ascertainment by CT, and the use of other types of ancillary investigations. The frequency of AT in our study complied with the average number of the other studies, but we had more LACI. This could relate to our exclusion of brain stem and cerebellar infarcts. However, lacunar strokes, especially those with negative CT, can be located in the brain stem, and these were included.^[116] Another explanation could be that we included not only patients who were admitted to hospital, but also those seen at the out patients clinic. The sole inclusion of admitted patients



biases the registry towards more severe strokes, whereas milder strokes are more often lacunar.^[29,31,34,138,182,201,202,268]

There were only two studies that looked at 30-day and one-year mortality, and only one study that collected data for stroke subtypes. So, despite that many 'prognosis' or 'follow-up' studies in stroke have been performed, only two, including the present study provide data on stroke recurrence and mortality accounting for ischemic stroke subtypes. The scarcity of follow-up studies in this respect probably relates to the amount of work involved in long-term follow-up. We also compromised in this respect as we conducted a cross-sectional follow-up. However, compared to other follow-up studies, our data do not point at major inconsistencies in our study, and therefore, our study results are not less valid than those of the other studies. Besides, our study is the only one with a rather high rate of CT ascertainment of the recurrent stroke type, which makes the study rather unique, as it is so far the only one that allows reliable conclusions on issues related to the recurrent stroke subtype. One could argue that data on prognosis may be collected from stroke trials' control groups. However, such trials used various exclusion criteria, thereby biasing towards the inclusion of particular patient groups. Furthermore, trials that used stroke subtypes for subgroup analysis, mostly defined these subtypes post hoc using clinical information which was collected to classify the degree of stroke severity, which is basically a non-validated method.^[2,7,12]

Accurate epidemiological data should be collected prospective, using a large, well defined and representative population, obtain complete case ascertainment, have early detailed neurological investigations and a high proportion of cases with confirmed pathology.^[33,49,164] Many previous studies do not meet most of these criteria and therefore provide limited utility of data. Stroke registries, although not meeting all strict epidemiological criteria, are used for clinical research and can be seen as positioned between traditional case series and population based studies.

The strength of a stroke data base or registry lies in its ability to accumulate a large set of data within specified time frames using a defined set of diagnostic procedures.

In 1994 Brainin published an overview of stroke data banks.^[49] In the selection of data bases he used 10 criteria:



- pre-specified diagnostic criteria for stroke and stroke subtypes
- separate analysis of first-ever strokes or first-ever stroke only
- CT investigation rate > 70%
- integration of autopsy data
- prospective collection of data
- planning of a pilot phase and inter-rater studies
- screening procedures of patients enrolled and constant timeframe of examinations
- a large spectrum of clinical and investigative data
- 12 month follow-up examinations
- a baseline paper describing the procedures used.

Other criteria are important as well: missing data of baseline characteristics and investigations should be mentioned, as well as missing data of follow-up (drop-outs). Data concerning disability or handicap at the time of stroke and at the end of the follow-up period should be provided. It might be important that the inclusion period is not interrupted. It is important to use first-ever cases because residual symptoms of previous stroke makes accurate description of clinical aspects of the subsequent stroke impossible. Furthermore, case fatality rates for non-first-ever stroke have been shown to be higher.^[130,148] Subgroup analysis must be used because of possible inherently different prognosis. The MSR complies with most of these criteria.

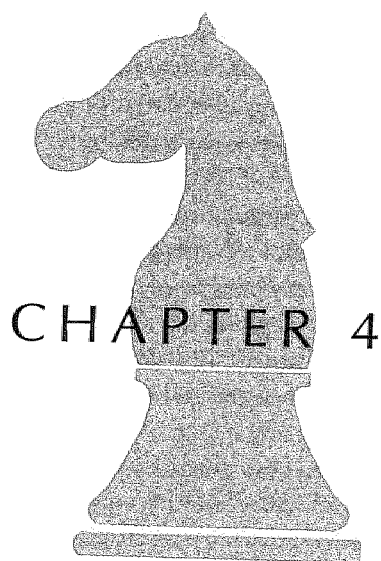
Our registry is rather similar to other registries in the literature, both population and hospital based, as far as distribution of subtypes, mortality and stroke recurrence is concerned. Furthermore, the MSR has CT investigation in more than 90 percent. Although some registries claim prospective follow-up, events (recurrent stroke, or death) were only studied at pre-specified times after stroke, so that almost a year could elapse between event and time of study.^[33,31] The Rochester studies are conducted with the use of a medical record linkage system, and are thus essentially based on retrospective case note reviews, albeit in a highly perfected form that allows detection of virtually all cases. ^[200,201,202] The studies in the Framingham population are conducted in a sample of the general population (n=5184), free of stroke, aged 30-62 year, starting in 1949, with biennially follow-up examinations.^[96,219] Only approximately eighty percent of the subjects take each follow-up visit. In both Rochester and Framingham, much more time is needed to assemble populations of stroke patients that are as large as



the numbers in stroke registries that include all patients in a certain period of time. Although these are 'community-based' studies, one should realize that study methods and definitions may change over time, affecting the validity of the data.

Inclusion of patients in a registry should not only be prospective, but consecutive as well. In the OCSP registry, inclusion of stroke patients was suspended for one year while inclusion of TIA's continued.^[33] If data were reported for all cases, including TIA, bias towards the inclusion of less severe cases with an inherently better prognosis may exist.

If a registry center is a tertiary referral center,^[138] a bias towards more severe, or younger, or unusual stroke cases may be introduced. Ideally, a registry should be assembled in one center, as this minimizes variations in diagnosis, investigations, referral patterns and treatment. Many registries however are a collaboration of more than one center.^[23,92,88,167,208,70,148] Population-based studies may include almost all stroke cases in a given population in a given time, including those not admitted to hospital, with the major advantage of the possibility to determine the relevance of findings for stroke patients in general. However, we circumvented this problem by also including outpatients not admitted. Another drawback of population-based studies is the uncertainty of the clinico-pathological relationship in those patients who are not examined by neurologists, or who do not have ancillary investigations. A major advantage of hospital-based studies is the possibility to have patients studied by neurologists with a special interest in stroke, and to have the patients undergo detailed and pre-specified ancillary investigations. In conclusion, we think the MSR database complies with rather strict criteria set for hospital-based data bases, and, therefore, provides a valid basis for studies on mortality, recurrent stroke, and functional outcome in ischemic stroke patients.





SURVIVAL AFTER STROKE:

*A prognostic study in 998 patients
with a first cerebral infarct*



INTRODUCTION

Survival is the most fundamental measure of outcome after stroke.^[27] Although the literature is rich in follow-up studies on survival after stroke, most are based on selected series of patients, and the factors which correlated with death have usually been determined in univariate statistical analyses. Few studies have evaluated factors predicting long term mortality after stroke in an unselected series of patients in whom the underlying cerebrovascular pathology was clearly defined.^[26,27,28]

Survival after brain infarction is significantly more unfavourable than in the general population. Mortality is highest in the first year, but for a long time thereafter as well.^[75,96,201] The annual risk of dying after stroke is 9.1%/year (2.3 times the risk of the general population),^[75,102] in the first year the risk is 15%.^[75] This excessive death risk also exists in younger patients.^[75] Even 20 year survivors show a greater mortality than controls.^[96]

Average loss of life after stroke is estimated to be fourteen years,^[244] indicating a vital prognosis two to three times more unfavourable than for the general population. After 20 years, 92.5% of the Framingham stroke population had died compared to 81% of controls. Long term mortality in stroke survivors is inexorable and greater than that of stroke free controls, following a similar pattern at a somewhat higher level.^[96]

Preventive measures are very important in this situation, but risk factors for death after stroke have not extensively been studied, which is even more true for ischemic stroke subtypes. Apart from this, it is unknown if treatment of possibly identified risk factors lowers the risk of death. Hypertension has been identified most frequently in this respect, but results are contradictory. In the Framingham population, no excessive risk was found after one year if hypertension and heart disease were excluded, which may imply that excess death is more related to risk factors than to stroke.^[219] In the general population a primary prevention project on risk factors did not reduce total mortality in the intervention group as a whole.^[35] In Australasia only marginal improvements in mean population blood pressure were found despite a high risk treatment strategy.^[46] Treatment of hypertension accounts for approximately 10% of the observed reduction in death from stroke.^[45] Improvements in the community control of hypertension in the USA have contributed only in a minor way to the decline in mortality from



stroke.^[44,45] Moreover, the decline in mortality had started before the era of hypertension treatment.^[134,147] A recent Swedish study stated that the improvements in stroke mortality are not explained by changes in known confounding factors.^[197] Several trials and other evidence have shown a protective effect from blood pressure reduction on recurrent stroke and vascular events after minor ischemic stroke or TIA.^[9,11,66,67,160,161,162,185,186] Time-trend studies show a declining stroke mortality (stronger decline than coronary mortality) but regions with the highest mortality rate also show the least favourable trend or even an increase in mortality.^[44,234] Case fatality rates also show a trend in decline.^[91] Opposing national and ethnic trends in stroke mortality occurring in just one generation suggest major effects of lifestyle, socioenvironmental and medical care determinants,^[169] rather than effects of medical (secondary) stroke prevention. The decline in mortality from stroke is partly due to the increasing competitiveness from cancer and degenerative diseases as cause of death.^[210] The impact of modern imaging techniques and the detection of milder cases may play a role,^[55] as well as better record keeping, better care and decreased stroke severity.^[173] The present analysis was performed to gain more insight into the factors predicting mortality following a first cerebral infarct, accounting for ischemic stroke subtype.

PATIENTS AND METHODS

These have been extensively described in chapter 2.

STATISTICAL EVALUATION

Mortality rates (30-day, one-year, total during follow-up) were calculated for the whole group, and for the ischemic subtypes. Kaplan-Meier estimates are given as well. For the subtypes, these rates were compared with each other (chi square, odds ratio, 95% confidence interval, p-values).

We performed logistic regression analyses to determine associations of the variables in our standard model (dm, ihd, age, sex, hypertension) for 30-day and one-year mortality, after which the other potential predictors were stepwise added to and withdrawn from the model. The associations are given as odds ratios with 95% confidence intervals, and in case of a significant association with the



corresponding p-value. These results are given for the whole group with the ischemic subtype included in the standard model, and for the ischemic subtypes separately.

Data are censored for follow-up less than 30 days or one year respectively in surviving patients. We also, in the same manner, performed time dependent Cox proportional hazard analyses, with resulting hazard ratios.

Apart from this we performed Kaplan-Meier survival analyses to compare the respective subgroups, with log rank tests for significance.

RESULTS

Table 4.1 shows mortality figures for all patients and the separate stroke subtypes. Thirty-day stroke mortality was highest in CE, and lowest in LACI. This pattern was similar for one-year, and end of follow-up mortality. Of all deaths within one year 42 percent occurred within 30 days. This figure was 15, 41, and 57 percent for LACI, AT, and CE, respectively. After the first year mortality declined with 44 percent to the end of follow-up. This figure was 39, 40, and 33 percent for LACI, AT, and CE, respectively. Table 4.2 compares mortality figures between the three stroke subtypes. Mortality was significantly lower at all three measured time points in LACI than in the other two subtypes. Mortality in CE was significantly higher than in AT and in LACI.

Table 4.3 shows the causes of death in all patients and in the three stroke subtypes separately. Death directly due to the first infarct was lowest in LACI, and highest in CE, whereas death due to recurrent stroke was similar in the three stroke subtypes. Logistic regression analysis in all patients revealed DM, high age, stroke subtype, and stroke severity as independent predictors of 30-day mortality. Recurrent stroke just missed statistical significance as independent predictor (table 4.4). In LACI patients DM and stroke severity were independent predictors. In AT patients DM, high age, recurrent stroke, and stroke severity, and in CE patients high age and stroke severity were independent predictors of 30-day mortality (table 4.5).

Logistic regression analysis of one-year mortality in all patients (table 4.6) detected DM, IHD, high age, stroke subtype, stroke recurrence, and COPD as independent predictors. Ipsilateral internal carotid artery stenosis just missed



table 4.1 mortality following first cerebral infarct

	all 998	laci 339	at 435	ce 224
30 day	101 (10.1)	7 (2.1)	43 (9.9)	51 (22.8)
one year	240 (24.0)	46 (13.6)	105 (24.1)	89 (39.7)
end of follow-up	361 (36.2)	88 (26.0)	156 (35.9)	117 (52.2)

numbers in brackets are percentages; numbers are absolute numbers

table 4.1.1 kaplan-meier estimates for cumulative survival

	laci	at	ce
30 day	0.9794	0.9057	0.7813
one year	0.8604	0.757	0.6026
end of follow-up	0.5549	0.3883	0.294

table 4.1.2 cumulative survival (mean, 95% ci) days

laci	1469	1385 - 1554
at	1230	1144 - 1316
ce	939	812 - 1066

statistical significance. In the subtypes (table 4.7), higher age and stroke recurrence were predictors in the LACI group, whereas hypertension just missed statistical significance. In AT patients DM, high age, recurrent stroke, stroke severity and ICA stenosis were independent predictors of one-year stroke mortality. In CE patients high age and stroke severity were independent predictors, whereas DM just missed statistical significance.

Time-dependent analysis using Cox modelling for all stroke patients (table 4.8) revealed various factors as independent predictors of death following first cerebral infarct: DM, IHD, age, stroke subtype, stroke severity, COPD, whereas ICA stenosis just missed statistical significance. In the subtypes (table 4.9), predictors in the LACI patients were: age and COPD, in AT patients: DM, age and stroke



table 4.2 prognosis for mortality comparing infarct subtypes

mortality	OR (CI)	p-value
30 day		
laci vs at	0.19 (0.09 - 0.41)	<0.001
laci vs ce	0.07 (0.04 - 0.14)	<0.001
at vs ce	0.37 (0.24 - 0.58)	<0.001
one year		
laci vs at	0.49 (0.35 - 0.73)	<0.001
laci vs ce	0.24 (0.16 - 0.36)	<0.001
at vs ce	0.48 (0.34 - 0.69)	<0.001
end of follow-up		
laci vs at	0.63 (0.46 - 0.86)	<0.001
laci vs ce	0.32 (0.22 - 0.46)	<0.001
at vs ce	0.51 (0.37 - 0.71)	<0.001

table 4.3 cause of death in 998 first ischemic stroke patients

	all	laci	at	ce
index infarction	81 (26)	3 (4)	32 (25)	46 (44)
recurrent stroke	44 (14)	10 (13)	20 (16)	14 (13)
heart failure	47 (15)	17 (23)	19 (15)	11 (10)
other cardiac disease	19 (6)	8 (11)	7 (5)	4 (4)
non cardiac vascular	16 (5)	4 (5)	6 (5)	6 (6)
pulmonary	37 (12)	11 (15)	14 (11)	12 (11)
cancer	33 (11)	13 (17)	18 (14)	2 (2)
rest	31 (10)	9 (12)	12 (9)	10 (10)
unknown	53	13	28	12

numbers are absolute numbers; numbers in brackets are percentage of known cause of death



table 4.4 associations by logistic regression analysis for 30-day mortality in 998 first ischemic stroke patients, infarct subtype included in standard model

	odds ratio	95% ci	p-value
dm	2.14	1.32 - 3.48	0.0021
ihd	1.57	0.97 - 2.52	
age 1	0.85	0.42 - 1.72	
age 2	2.86	1.58 - 5.19	0.0005
rr	0.95	0.60 - 1.50	
sex	1.32	0.8 - 2.15	
str type at vs laci	4.69	2.06 - 10.66	0.0002
str type ce vs laci	9.88	4.32 - 22.60	<0.0001
recurrent stroke	2.87	0.98 - 8.43	
adl	12.77	5.05 - 32.27	<0.0001
asla	0.82	0.45 - 1.49	
copd	1.17	0.57 - 2.40	
la	0.83	0.47 - 1.47	
sten*	2.29	0.78 - 6.76	

*: dm nonsign

table 4.6 associations by logistic regression analysis for one-year mortality, ischemic subtype included in standard model

	odds ratio	95% ci	p-value
dm	1.86	1.28 - 2.71	0.0112
ihd	1.43	1.003 - 2.05	0.0478
age 1	1.57	0.99 - 2.50	
age 2	5.49	3.57 - 8.44	<0.0001
rr	0.88	0.63 - 1.22	
sex	1.03	0.73 - 1.44	
stype at vs laci	1.78	1.19 - 2.66	0.0053
stype ce vs laci	2.76	1.77 - 4.29	<0.0001
recurrent stroke	2.26	1.36 - 3.75	0.0016
adl	3.36	2.31 - 4.88 ³	<0.0001
asla	0.85	0.56 - 1.28 ¹	
copd	1.65	1.02 - 2.69	0.0434
la	0.92	0.62 - 1.36 ^{1,4}	
sten	1.72	0.98 - 3.04 ^{1,2,4,5}	

1: ihd nonsign; 2: dm nonsign; 3: str type at vs laci nonsign; 4: age 1 nonsign; 5: str type nonsign



table 4.5 associations by logistic regression analysis for 30-day mortality in the ischemic stroke subtypes

	laci			atherothrombotic			cardioembolic		
	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value
dm	5.92	1.22 - 28.68	0.0272	2.19	1.08 - 4.44	0.0305	1.78	0.83 - 3.82	
ihd	1.08	0.18 - 6.46		1.77	0.88 - 3.57		1.46	0.72 - 2.95	
age 1	2.47	0.21 - 28.69		0.79	0.30 - 2.05		0.78	0.24 - 2.57	
age 2	8.27	0.81 - 83.60		2.42	1.07 - 5.48	0.0339	2.94	1.11 - 7.78	0.0295
rr	3.31	0.55 - 19.74		0.98	0.50 - 1.92		0.78	0.39 - 1.53	
sex	0.94	0.18 - 5.02		1.32	0.65 - 2.69		1.43	0.68 - 2.98	
recurrence	0.009	0.0 - 8E+25		4.31	1.01 - 18.39	0.0484	2.37	0.45 - 12.53	
adl	10.6	1.15 - 97.41	0.037	29.29 ²	3.96 - 216.76	0.0009	8.14	2.39 - 27.65	0.0008
asla	0.57	0.10 - 3.35		0.97 ²	0.41 - 2.32		0.64	0.24 - 1.70 ³	
copd	3.16	0.51 - 19.62		0.75	0.21 - 2.65		1.18	0.42 - 3.32	
la	2.05	0.39 - 10.90		0.69 ²	0.26 - 1.72		0.68	0.29 - 1.60	
sten	0	0 - 9E+31		3.97 ¹	0.99 - 15.81		0	0 - 9.8E+61	

1: dm nonsign; 2: ihd sign; 3: lft 2 nonsign



table 4.7 associations by logistic regression analysis for one-year mortality in the ischemic stroke subtypes

	laci			atherothrombotic			cardioembolic		
	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value
dm	1.13	0.50 - 2.54		2.47	1.42 - 4.31	0.0014	2.02	0.98 - 4.17	
ihd	1.61	0.76 - 3.43		1.4	0.82 - 2.39		1.43	0.75 - 2.73	
age 1	2.28	0.91 - 5.73		1.63	0.84 - 3.18		1.11	0.43 - 2.90	
age 2	7.9	3.21 - 19.46	<0.0001	5.21	2.79 - 9.76	<0.0001	4.85	2.11 - 11.17	0.0002
rr	1.94	0.98 - 3.87		0.92	0.56 - 1.50		0.43	0.23 - 0.82	
sex	0.72	0.36 - 1.44		0.96	0.57 - 1.60		1.41	0.74 - 2.71	
recurrence	3.21	1.30 - 7.91	0.0112	3.28	1.52 - 7.09	0.0025	0.81	0.29 - 2.23	
adl	1.42	0.70 - 2.86		4.28	2.34 - 7.85	<0.0001	6.5	2.88 - 14.67	<0.0001
asla	0.87*	0.42 - 1.77		0.93	0.50 - 1.74		0.6	0.25 - 1.42	
copd	1.91	0.81 - 4.50		1.37	0.62 - 3.02		1.74	0.69 - 4.42	
la	0.86	0.41 - 1.80		0.89	0.48 - 1.62		0.87	0.40 - 1.90	
sten	1.1	0.27 - 4.43		2.5	1.22 - 5.12	0.0122	0.43	0.04 - 4.33	

* : rr sign



table 4.8 associations by cox proportional hazard analysis for mortality, ischemic stroke subtype included in standard model

	hazard ratio	95% ci	p-value
dm	1.65	1.30 - 2.09	<0.0001
ihd	1.28	1.02 - 1.61	0.033
age 1	1.83	1.31 - 2.55	0.0004
age 2	4.93	3.62 - 6.70	<0.0001
rr	0.96	0.78 - 1.19	
sex	0.95	0.67 - 1.18	
str type at vs laci	1.52	1.17 - 1.98	0.0017
str type ce vs laci	2.09	1.57 - 2.78	<0.0001
adl	1.79	1.41 - 2.26	<0.0001
asla	1.07	0.83 - 1.37	
copd	1.51	1.11 - 2.05	0.0083
la	1.12	0.88 - 1.44	
sten	1.43	0.99 - 2.06	
recurrent stroke	1.15	0.88 - 1.50	

table 4.10 significant predictors for mortality per subtype

laci			at			ce		
30 day	1 year	end study	30 day	1 year	end	30 day	1 year	end
dm	age	age	dm	dm	dm	age	age	dm
sev	rec	copd	age	age	age	sev	sev	age
	(rr)		rec	rec	sev		(dm)	sev
			sev	sev	(sten)			copd
			(sten)	sten	(rec)			(ihd)

between brackets: just missed statistical significance



table 4.9 associations by cox proportional hazard analysis for mortality in the ischemic stroke subtypes

	laci			atherothrombotic			cardioembolic		
	hazard ratio	95% ci	p-value	hazard ratio	95% ci	p-value	hazard ratio	95% ci	p-value
dm	1.54	0.96 - 2.49		1.69	1.17 - 2.43	0.0051	1.75	1.15 - 2.66	0.0091
ihd	1.45	0.90 - 2.34		1.07	0.75 - 1.53		1.46	0.99 - 2.14	
age 1	2.51	1.36 - 4.66	0.0035	2.04	1.24 - 3.35	0.005	1.05	0.53 - 2.06	
age 2	6.67	3.62 - 12.29	<0.0001	5.22	3.30 - 8.26	<0.0001	3.31	1.87 - 5.85	<0.0001
rr	1.32	0.86 - 2.04		1.07	0.78 - 1.48		0.69	0.47 - 1.01	
sex	0.95	0.62 - 1.47		0.83	0.59 - 1.16		1.1	0.73 - 1.67	
recurrence	1.09	0.65 - 1.83		1.44	0.95 - 2.18		0.9	0.55 - 1.45	
adl	1.02	0.65 - 1.60		1.97	1.35 - 2.87	0.0004	2.45	1.51 - 3.97	0.0003
asla	1.40	0.89 - 2.18		1.10	0.73 - 1.66		0.77	0.46 - 1.30	
copd	1.79	1.02 - 3.13	0.042	1.21	0.71 - 2.06		1.78	1.05 - 3.03	0.0382
la	1.41	0.88 - 2.25		0.92	0.61 - 1.37		1.08	0.69 - 1.67	
sten	1.42	0.63 - 3.18		1.46	0.92 - 2.32		1.08	0.36 - 3.27	

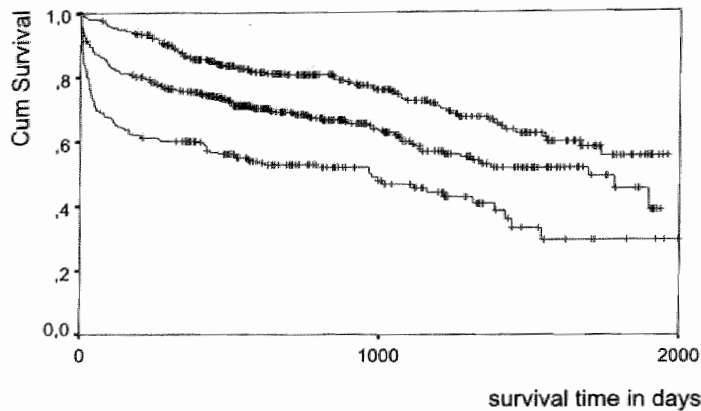


figure 4.1 survival time in days, comparison between laci, at and ce; upper line = laci, middle line = at, lower line = ce; log rank 59.50; sign <0.0001

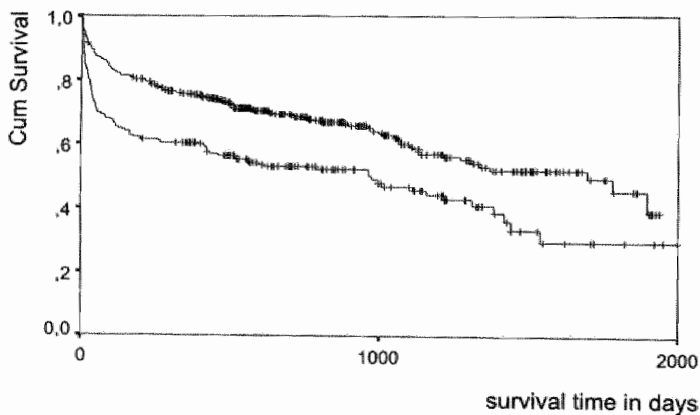


figure 4.2 survival time in days, comparison between at and ce; upper line = at, lower line = ce; log rank 19.54; sign <0.0001

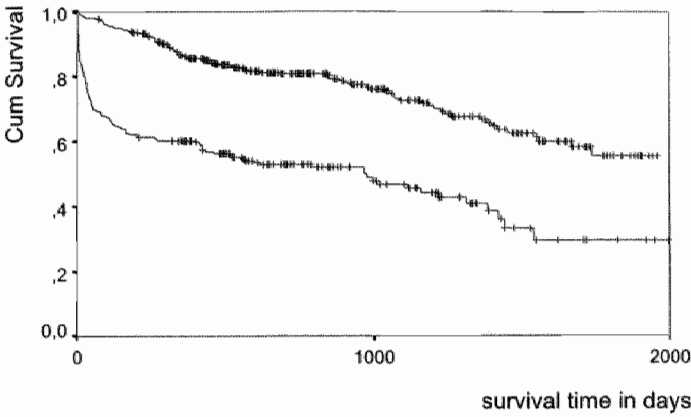


figure 4.3 survival time in days, comparison between laci and ce;
upper line = laci, lower line = ce; log rank 61.48; sign <0.0001

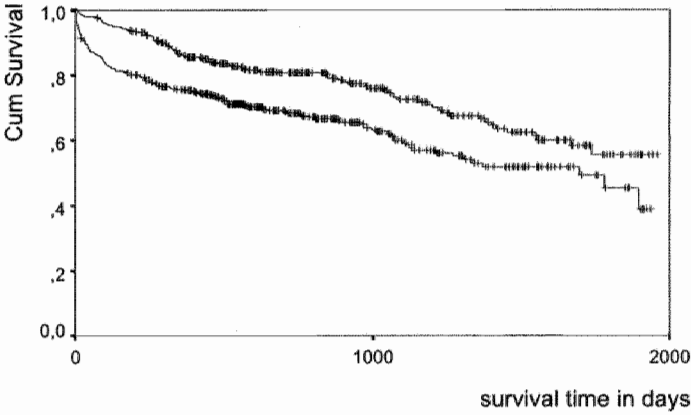


figure 4.4 survival time in days, comparison between laci and at;
upper line = laci, lower line = at; log rank 15.32; sign 0.001



severity, and in CE patients: DM, high age, stroke severity and COPD, whereas IHD just missed statistical significance.

So, in LACI stroke severity was only a predictor of 30-day mortality, whereas in AT and CE it remained an independent predictor over time. Higher age was a consistent predictor over time in all stroke subtypes. Recurrent stroke was an independent predictor of especially one-year mortality in LACI and AT, but not in CE. DM was a consistent predictor over time in AT but not in LACI, whereas its significance in CE increased over time (table 4.10).

Log-rank testing of survival showed a significant difference between the three stroke subtypes; LACI versus CE: $p < 0.0001$; LACI versus AT: $p = 0.001$; AT versus CE: $p < 0.0001$ (figures 4.1, 4.2, 4.3 and 4.4)

DISCUSSION

We found a 30-day mortality of ten percent in our 998 patients with a first cerebral infarct. This is similar to the OCSF, Malmo and Sepivac registries, while all other studies report higher values except the Northern Manhattan registry (see table 3.3).

There were significant differences, though, between the three stroke subtypes: lacunar infarct patients had the lowest, and cardioembolic infarct patients the highest 30-day mortality. Others also found a low early death rate among lacunar stroke patients.^[27,34,38,138,202,268] Death rates at one year and at the end of follow-up differed likewise. A low early case fatality rate was also reflected in the lowest first month proportion of one year deaths in lacunar patients. In AT and CE patients this proportion was high, approximately forty percent, and more than half of all first year's deaths, respectively.

Similar figures were given in the Dijon registry and OCSF registry.^[75,93] After the first year death rates declined in all three stroke subtypes similarly, with thirty to forty percent. It should be noted that at the end of the first year almost a quarter of all patients had died, and somewhat over one third at about two years. Our data concur in this respect to the results of the OCSF and Perth registries.^[75,102]

Although mortality is low in LACI, a first lacunar infarct harbours an unfavourable prognosis as to the chance of dying within a couple of years, as at one year



over ten percent, and after some two years more than a quarter of LACI patients had died. Therefore, lacunar stroke can not be regarded as an innocent type of stroke. About half of the deaths following lacunar stroke had a vascular cause, whereas in half of these it was heart failure. Heart failure appeared to be a significant cause of death in our population. Heart-related death causes were high in the non-CE strokes, but heart ischemia (among “other cardiac disease”) was unexpectedly low, as especially following TIA myocardial infarction is a frequent cause of death.^[75] However, many patients with heart failure may also suffer IHD, whereas the cause of death could not be ascertained in almost fifteen percent of our patients who died. On the other hand, IHD did not turn out to be an independent predictor of death in our population.

Patients with a first stroke that is most likely caused by embolus from the heart have the most grimmost prognosis *quad vitam*, as almost a quarter died within the first month, and more than half of them within eighteen months. Age and stroke severity were the only two consistent, independent predictors of mortality in this group. Obviously, trying to prevent cardioembolic stroke to occur in the first place may be the only modifiable risk factor in this stroke subtype. Recurrent stroke was not an independent predictor of death in CE patients, which may be related to the fact that even before EAFT results appeared, long-term anticoagulation was our policy in most CE patients with a first stroke.^[157] In CE patients surviving the first year, DM and COPD may increasingly be of importance as risk factors for mortality. Treatment of these afflictions may, therefore, improve long-term prognosis *quad vitam* in CE patients.

Our findings in the LACI group do not point at major possibilities to improve prognosis for survival. As in CE patients, COPD was an independent predictor of long-term mortality. COPD in itself lowers life expectancy in general, but related to stroke more specific reasons may be involved, which are discussed in chapter 5. Hypertension just missed statistical significance as an independent predictor of one-year mortality in LACI, but could not be established as a consistent predictor in this nor the other stroke subtypes. This was also the case with silent lesions or leukoaraiosis on CT, and IHD. These factors are predictors of recurrent stroke, and may, therefore, not independently from this be detected as predictors (see chapter 5).

With regard to hypertension, treatment of this condition may have weakened any effect on mortality. Besides, hypertension is highly prevalent in all stroke

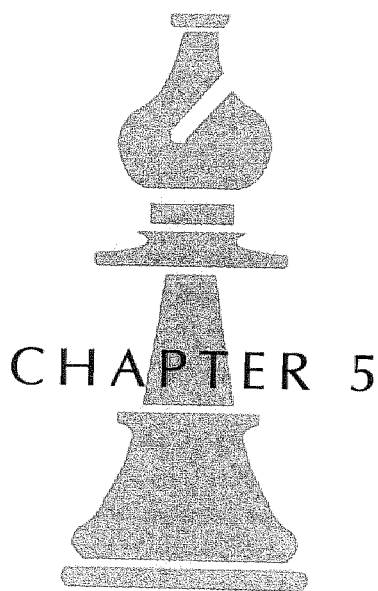


subtypes, and may, therefore, not be detected as a discriminator between stroke subtypes or even in stroke in general as an independent predictor.

In our AT patients DM, age, and stroke severity were consistent predictors over time, whereas recurrent stroke and ICA stenosis were less consistent. Obviously, in territorial infarcts the severity of first stroke neurological deficit remains a significant factor, which consistently implies an increased chance over time to die. Therefore, a worthwhile lowering of not only early but also late mortality might result from a therapy that first of all lowers the degree of neurological deficit in first ischemic stroke patients. Admittance to a stroke unit may have such effect,^[13,146,212,213] as thrombolysis was also shown to have,^[8,54,100] and, hopefully, eventually neuroprotection.

In conclusion: Our data indicate that a cerebral infarct significantly lowers life expectancy, not only early following stroke, but likely for the remaining survival period following stroke. Although there are differences between ischemic stroke subtypes, even lacunar stroke is a life-threatening affliction for the stroke patient's near future. Significant improvement of prognosis for survival by modification of known risk factors seems unlikely, besides that smoking should be discouraged strongly, but not only with the aim to lower future stroke risk. More is to be expected from anti-platelet drugs more effective than aspirin,^(1,77) drugs that lower serum cholesterol,⁽²⁷⁷⁾ affect endothelial function (another effect of statins and ace-inhibitors), or lower serum homocysteine.^(76,272) In AT and CE, treatment that lowers stroke severity may also exert a continuous improvement of life expectancy in these groups. Mortality rates and independent predictors of mortality vary significantly between ischemic stroke subtypes. This may reflect the difference in their underlying pathology, a difference that is consistent over time.







Timing and prediction of recurrent stroke following a first cerebral infarct



INTRODUCTION

Reliable prediction of recurrent stroke may be relevant to guide secondary prevention strategies in individual patients. The most common vascular event during the first year after a cerebral ischemic event is a recurrent stroke with substantial morbidity and loss of quality of life.^[20] Recurrent stroke not only increases the degree of already existing disability, but results in death twice as often as first ischemic stroke, whereas it is also associated with longer hospital stay.^[130,223,275] However, so far prediction models for stroke recurrence and other vascular events following a first stroke are disappointing and better prediction is needed.^[78,112]

A considerable proportion of stroke survivors do not, or no longer, take anti-platelet drugs, and therefore, secondary prevention may be improved by monitoring stroke survivors in this respect.^[115,130,218] Such effort could more selectively be employed in those patients who are predicted to have a strongly increased risk of future stroke. Dedicated monitoring of such patients may be of even more value since more effective anti-platelet regimes than Aspirin alone have become available.^[1,77] In the near future a statin,^[203,277] an ACE-inhibitor,^[4,186,290] or both, may be added to our secondary prevention arsenal, but this awaits results of ongoing studies.

Treatment of modifiable risk factors such as hypertension, for example, has been shown to lower the risk of vascular events following first stroke.^[9,11,66,67,160,161,162,163,186] Close patient monitoring following stroke is mandatory in this respect as only a minority of patients at risk for vascular events were on treatment for known modifiable risk factors when they had their stroke. Therefore, in the attempt to improve prediction of the risk of recurrent stroke, potential predictive factors that have not yet received attention, or only in a limited way, should be explored. One of such predictors may, for example, be the presence or number of silent ischemic lesions on neuroimaging. Silent lesions may predict the development of new silent lesions,^[267] but whether they also predict new symptomatic stroke, is not clear. Another predictor of the occurrence and possibly the type of new stroke, is the index stroke subtype (see chapters 7 and 8 for more detail). Furthermore, prediction of when recurrent stroke is likely to occur is of importance; some studies describe a high early recurrence rate, but others did not. Early recurrence was especially high in the PACI type (according to the OCSP classifi-



cation),^[32] and in the atherosclerotic with stenosis of the ICA type in Rochester, where it was partly due to iatrogenic and procedure related recurrent stroke.^[202]

One of the factors that may explain differences in stroke recurrence rate and timing is the varying degree of case ascertainment of recurrent stroke, which, ideally, requires CT or MRI. For various reasons this seems hard to pursue, as to the best of our knowledge there are no registry based follow-up studies that used CT or MRI to any extent in cases of recurrent stroke, or reported their use of neuroimaging in this circumstance.

In this study we measured the rate of stroke recurrence, and mortality following stroke recurrence, and explored various potential predictors of recurrent stroke.

PATIENTS AND METHODS

These have extensively been described in chapter 2.

STATISTICAL EVALUATION

We measured recurrence rates within 30 days, one year, and at the end of follow-up (actual, and Kaplan-Meier estimates) in the whole patient sample as well as in the stroke subtypes. We calculated duration of follow-up for the whole group and for the subtypes, given as mean and standard deviation (SD). Duration of follow-up is also given for the survivors.

We performed multivariate logistic regression analysis with a standard model of independent variables (dm, ihd, age, rr and sex) and 30-day and one-year recurrence as dependent variables. Results are represented as odds ratio (OR) and 95% confidence interval (CI) for all explored predictors, with p-value in case of significant association. The other potential predictors (stroke severity, asymptomatic lacunar infarction, chronic obstructive pulmonary disease (COPD), leukoaraiosis, and ipsilateral significant carotid stenosis) were then in a stepwise manner added and removed from the standard model described above. These analyses were done for the whole group, with stroke subtype added as an independent variable to the standard model; and for the ischemic subtypes separately. For 30-day and one-year data, we censored for shorter follow-up. We performed a time



dependent proportional hazard analysis (Cox analysis) for recurrence, with hazard ratio (HR), 95% confidence interval (CI) and in case of significant association, a p-value. In the same way as described in the logistic regression analysis we used the standard model and the other possible predictors. These analyses were done for the whole group, with inclusion of the ischemic subtype in the standard model; and for the ischemic subtypes separately. Kaplan-Meier curves were constructed for stroke recurrence (survival free of stroke) with log rank test for significance, comparing the respective ischemic subtypes.

RESULTS

Table 5.1 shows the stroke recurrence rate for all patients and separate stroke subtypes. There were no major differences in stroke recurrence rates between stroke subtypes at 30-days, one year, or at the end of follow-up. The difference was most pronounced for LACI vs ATH and LACI vs CE regarding 30 day recurrence, but these differences did not reach statistical significance (table 5.2) on actual analysis. On Kaplan-Meier testing however, a significant difference in survival free of recurrent stroke was detected between LACI and CE, and between AT and CE. There was no significant difference between LACI and AT (figures 5.1, 5.2, 5.3, and 5.4). Of all recurrent strokes that occurred within the first year, 22 percent occurred within 30 days; this percentage for AT was 27, for LACI 10, and for CE 29 percent. From one year to the end of follow-up stroke recurrence rate declined with 58 percent in all patients, and with 54, and 49 percent in AT and LACI, respectively, whereas it increased with 5 percent in CE. The recurrence percentages are given in table 5.3.

Logistic regression analysis in all patients (table 5.4) detected IHD and COPD as independent predictors of 30-day stroke recurrence; OR: 3.81 and 4.19, respectively. DM and hypertension did not reach the level of statistically significant independent predictors. When we analysed the three stroke subtypes separately (table 5.5), various point estimates indicated increased chance of recurrent stroke, but, probably due to small recurrent stroke numbers, these were not statistically significant.

Logistic regression analysis for all patients revealed IHD and COPD as independent predictors of one-year recurrent stroke (table 5.6). Analysis of the sepa-

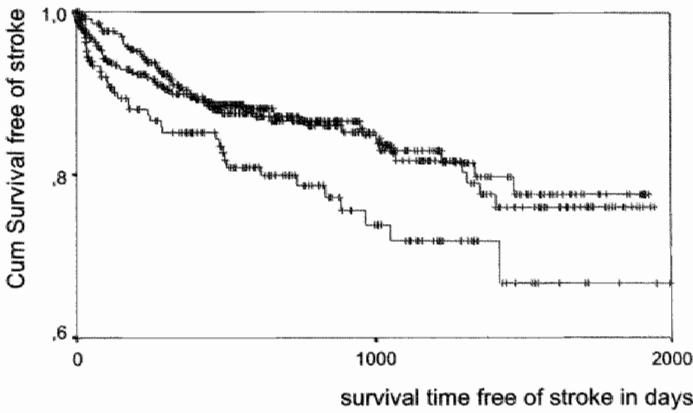


figure 5.1 survival time free of stroke in days, comparison between at, laci and ce;
upper line = at, middle line = laci, lower line = ce; log rank 6.62;
sign 0.0365

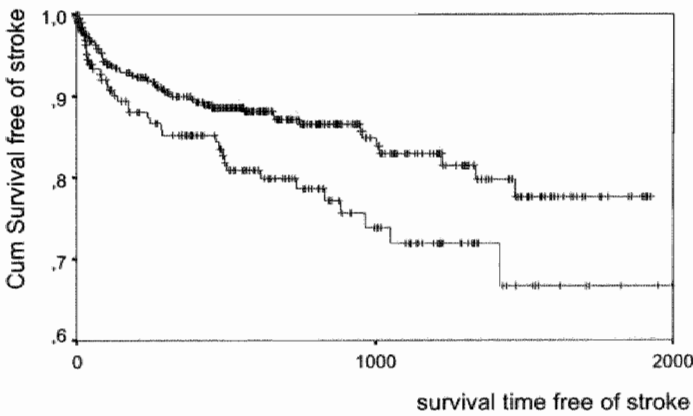


figure 5.2 survival time free of stroke in days, comparison between at and ce;
upper line = at, lower line = ce; log rank 5.16; sign 0.0232

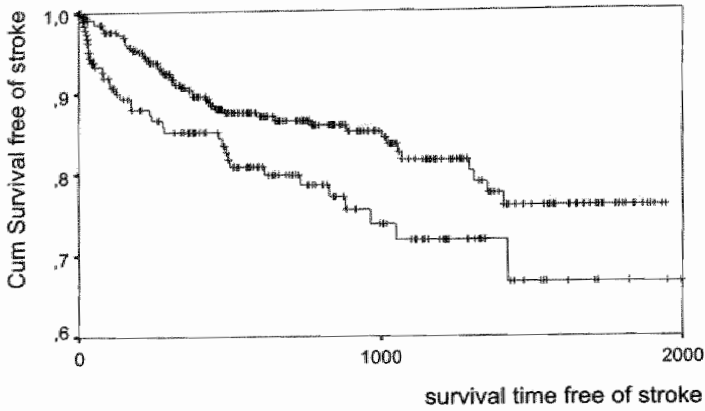


figure 5.3 survival time free of stroke in days, comparison between laci and ce;
upper line = laci, lower line = ce; log rank 5.33; sign 0.0209

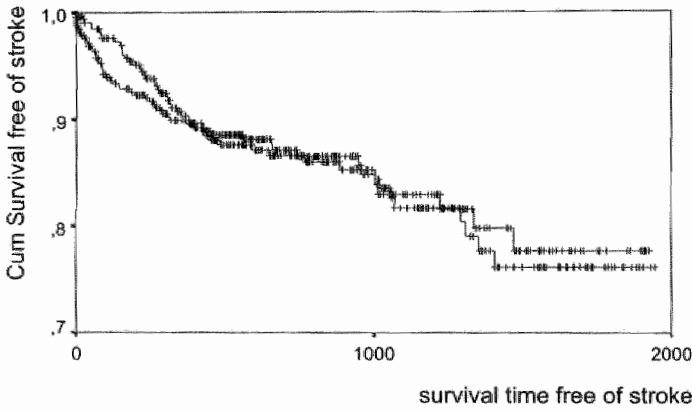


figure 5.4 survival time free of stroke in days, comparison between at and laci;
upper line = at, lower line = laci; log rank 0.00; sign 0.9962



table 5.1 stroke recurrence rates in the ischemic stroke subtypes

	laci	at	ce
30 day recurrence	3 (0.9)	10 (2.3)	7 (3.1)
one year recurrence	30 (8.8)	37 (8.5)	24 (10.7)
recurrence in follow-up	49 (14.5)	53 (12.2)	36 (16.1)

numbers are absolute numbers; numbers in brackets are percentages

table 5.1.1 kaplan-meier estimates for cumulative survival free of stroke

	laci	at	ce
30 day	0.9911	0.9761	0.9624
one year	0.9036	0.8992	0.8519
end of follow-up	0.7607	0.7759	0.6669

table 5.1.2 cumulative survival free of stroke in days (mean, 95% ci)

	laci	at	ce
30 day	1639	1561 - 1717	
one year	1632	1557 - 1707	
end of follow-up	1521	1381 - 1661	

table 5.2 prognosis for recurrent stroke comparing the ischemic stroke subtypes

	OR	CI
30 day		
laci vs at	0.38	0.08 - 1.76
laci vs ce	0.28	0.06 - 1.28
at vs ce	0.73	0.14 - 3.81
one year		
laci vs at	1.04	0.12 - 8.78
laci vs ce	0.81	0.40 - 1.64
at vs ce	0.77	0.41 - 1.47
end follow-up		
laci vs at	1.22	0.76 - 1.95
laci vs ce	0.88	0.48 - 1.62
at vs ce	0.72	0.44 - 1.19



table 5.3 percentage recurrent stroke per year

	all	survivors
all	7	9
laci	6	8
at	7	8
ce	11	14

table 5.4 associations by logistic regression analysis for 30 day stroke recurrence in 998 first ischemic stroke patients, infarct subtype included in standard model

	odds ratio	95% ci	p-value
dm	1.82	0.53 - 6.24	0.0311
ihd	3.47	1.12 - 10.74	
age 1	0.6	0.13 - 2.81	
age 2	1.54	0.39 - 6.03	
rr	1.84	0.58 - 5.86	
sex	1.08	0.32 - 3.59	0.0272
strtype at vs laci	1.16	0.39 - 6.58	
strtype ce vs laci	1.89	0.40 - 8.94	
adl	0.48	0.14 - 1.61	
asla	1.05	0.27 - 4.06	
copd	4.16	1.17 - 14.73	
la	2.57	0.69 - 9.58	
sten	0.62	0.06 - 6.08	

rate stroke subtypes (table 5.7) yielded DM as a rather strong independent predictor in the lacunar stroke subtype, and also in CE. Cox analysis for all patients (table 5.8) showed DM, IHD, COPD, and LA as independent predictors. For the subtypes (table 5.9), Cox analysis in the LACI type detected DM, asymptomatic lacunar lesions on CT, and LA as independent predictors. In the AT type, IHD, COPD, and an ipsilateral ICA stenosis were independent predictors, but only DM in the CE type. Log-rank testing of survival free of recurrent stroke (figures 5.1, 5.2, 5.3, 5.4) showed a significant difference between CE and both AT and LACI; $p=0.0209$ and $p=0.0232$, respectively, but no difference between AT and LACI.



table 5.6 associations by logistic regression analysis for one year stroke recurrence, infarct subtype included in standard model

	odds ratio	95% ci	p-value
dm	2.61	1.36 - 5.01	0.0041
ihd	2.14	1.16 - 3.93	0.0145
age 1	0.55	0.27 - 1.11	
age 2	0.95	0.46 - 1.96	
rr	0.89	0.50 - 1.61	
sex	0.56	0.30 - 1.05	
strtype at vs laci	0.81	0.42 - 1.58	
strtype ce vs laci	1.35	0.62 - 2.91	
adl	0.65	0.34 - 1.25	
asla	1.6	0.83 - 3.07	
copd	2.97	1.43 - 6.18	0.0036
la	1.52	0.75 - 3.09	
sten	1.43	0.65 - 3.12	

table 5.8 associations by cox proportional hazard analysis for recurrent stroke, infarct subtype included in standard model

	hazard ratio	95% ci	p-value
dm	1.88	1.28 - 2.76	0.0012
ihd	1.55	1.07 - 2.23	0.0192
age 1	0.89	0.58 - 1.35	
age 2	1.46	0.96 - 2.22	
rr	0.98	0.70 - 1.38	
sex	0.91	0.64 - 1.30	
strtype at vs laci	0.97	0.65 - 1.43	
strtype ce vs laci	1.43	0.92 - 2.23	
adl	0.9	0.63 - 1.29	
asla	1.38	0.94 - 2.01	
copd	2.18	1.37 - 3.45	0.0009
la	1.51	1.01 - 2.25	0.0459
sten	1.38	0.84 - 2.26	



Table 5.5 associations by logistic regression analysis for 30-day recurrent stroke in the ischemic stroke subtypes

	laci			atherothrombotic			cardioembolic		
	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value
dm	2.06	0.17 - 25.34		1.95	0.30 - 12.50		0.98	0.09 - 10.70	
ihd	7.56	0.65 - 87.83		7.3	1.26 - 42.27	0.0264	0.59	0.05 - 6.93	
age 1	1.16	0.07 - 20.08		0.37	0.03 - 4.42		0.51	0.03 - 9.07	
age 2	2.84	0.13 - 62.23		1.7	0.25 - 11.57		0.64	0.05 - 7.96	
rr	2.31	0.18 - 29.48		0.58	0.1 - 3.56		101	0.0 - 5E+43	
sex	0.48	0.03 - 6.57		1.23	0.20 - 7.50		1.34	0.11 - 15.83	
adl	1.1	0.08 - 15.28		0.29	0.04 - 1.95		0.63	0.08 - 5.19	
asla	4.67	0.38 - 58.19		0.003	0.0 - 1E+36		1.82	0.16 - 20.97	
copd	213	0.0 - 3E+57		1.49	0.15 - 14.58		0.0002	0.0 - 1E+76	
la	1.1	0.07 - 16.22		0.89	0.08 - 9.69		734	0.0 - 3E+68	
sten	0	0.0 - 7E+24		4.5	0.19 - 106.81		0	0.0 - 9E+15	



table 5.7 associations by logistic regression analysis for one-year recurrent stroke in the ischemic stroke subtypes

	laci			atherothrombotic			cardioembolic		
	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value
dm	3.09	1.15 - 8.29	0.0252	1.18	0.30 - 4.55		4.64	1.20 - 17.94	0.0261
ihd	2.15	0.78 - 5.94		3.75	1.39 - 10.16	0.0093	1.31	0.36 - 4.70	
age 1	1.63	0.56 - 4.76		0.22	0.56 - 0.84	0.0266	0.19	0.03 - 1.18	
age 2	1.93	0.49 - 7.56		0.52	0.15 - 1.79		0.81	0.21 - 3.14	
rr	1.56	0.58 - 4.16		0.45	0.15 - 1.34		0.99	0.29 - 3.42	
sex	0.33	0.11 - 0.97		0.8	0.27 - 2.36		0.79	0.22 - 2.88	
adl	1.36	0.46 - 4.01		0.37	0.12 - 1.14		0.63	0.19 - 2.16	
asla	1.94	0.73 - 5.16		1.08	0.28 - 4.15		2.5	0.60 - 10.41	
copd	2.67	0.84 - 8.52		2.22	0.62 - 7.99		3.5	0.68 - 17.92	
la	1.47	0.51 - 4.21		0.89	0.23 - 3.45		2.74	0.55 - 13.56	
sten ¹	0.45	0.06 - 4.52		2.01	0.67 - 6.04		1.03	0.17 - 6.27	

1 dm ns



table 5.9 associations by cox proportional hazard analysis for recurrent stroke in the ischemic stroke subtypes

	laci				atherothrombotic				cardioembolic			
	hazard ratio	95% ci	p-value		hazard ratio	95% ci	p-value		hazard ratio	95% ci	p-value	
dm	2.03	1.09 - 3.77	0.0252		1.38	0.70 - 2.69			2.32	1.10 - 4.88	0.0269	
ihd	1.32	0.68 - 2.57			2.11	1.19 - 3.74	0.0101		1.31	0.65 - 2.64		
age 1	1.24	0.64 - 2.40			0.73	0.37 - 1.45			0.67	0.26 - 1.74		
age 2	1.88	0.90 - 3.94			1.24	0.64 - 2.39			1.28	0.55 - 2.95		
rr	1.34	0.75 - 2.40			0.73	0.41 - 1.30			0.99	0.49 - 1.98		
sex	0.68	0.38 - 1.23			0.98	0.55 - 1.76			1.25	0.59 - 2.67		
adl	1.01	0.53 - 1.91			0.76	0.43 - 1.33			1.07	0.54 - 2.14		
asla	1.94	1.08 - 3.48	0.0255		1.01	0.49 - 2.06			1.22	0.53 - 2.80		
copd	2.01	0.96 - 4.25			2.22	1.04 - 4.73	0.0382		1.99	0.74 - 5.36		
la	2.2	1.18 - 4.10	0.0134		1.28	0.65 - 2.52			0.95	0.39 - 2.32		
sten	0.5	0.12 - 2.08			2.06	1.06 - 4.00	0.0332		1.39	0.37 - 5.30		



DISCUSSION

We found a one-year stroke recurrence rate following a first cerebral infarct of almost ten percent. This rate complies with those mentioned in the literature, where it varies from nine to twelve percent (see table 3.3) in the registry studies which reported their data for the whole ischemic group. Differences probably are due to differences in case mix, populations studied, inclusion of only first ever infarct patients or not, and different follow-up procedures.

We found no difference between stroke subtypes with respect to actual figures in thirty-days, one-year, or end of follow-up stroke recurrence rate. However, significant differences were found on Kaplan-Meier testing. These data for the other registries are mentioned in table 3.4 in chapter 3.

There was a certain degree of early clustering of recurrent strokes in AT and CE, as almost thirty percent of all first year recurrences occurred within the first thirty days in these subtypes. There was no evidence of early clustering in the LACI type as only ten percent of all first year's recurrences occurred in the first thirty days. These differences may relate to differences in underlying stroke mechanism between these subtypes: cerebral small vessel disease may manifest itself clinically more slowly over time than large vessel disease. In large vessel disease, and in cardioembolic stroke a continuing active source of embolism may be important with a high risk of early recurrence.^[56,92,93,183,184] The low even recurrence rate in our LACI group is similar to the OCSF data.^[34]

These data indicate that secondary preventive measures, be it modification of vascular risk factors, or the prescription of anti-platelet or anti-coagulant therapy, may best be started as soon as possible following first stroke. In fact, the IST and CAST studies showed that stroke patients may have increased benefit from aspirin when started early.^[6,7]

We found that after the first year recurrent stroke rate declined with about fifty percent in AT and LACI patients, but not in CE. This may be related to the shorter follow-up period after the first year in CE, which was due to higher mortality in this group. On the other hand, it could also mean that clinical manifestations of (pre-)cerebral atheromatosis not only differ between large and small vessel disease, but also between these entities and cardiogenic stroke.

We found IHD and COPD as significant predictors of early stroke recurrence in the whole ischemic group, but no clear predictors in the different stroke



subtypes. For one-year recurrent stroke DM was a significant predictor in the whole group, which was also the case in the LACI and CE types.

Time-dependent analysis for the total follow-up period revealed DM, IHD, COPD, and LA as independent predictors of recurrent stroke in the total patient sample.

Although we did not investigate this, the influence of COPD may relate to a possible arrhythmogenic side effect of anti-COPD medications. Another possible explanation for the COPD effect could be the frequent infectious episodes that accompany COPD, as in recent years a relationship between infectious disease and ischemic stroke has been established.^[80,282]

From analysing stroke subtypes separately, ASLA emerged as a predictor in LACI, but not in AT or CE. This may point to a kind of coherence in the underlying stroke cause, which is small vessel disease in most lacunar stroke patients.^[83,84,85,86,87,151] As ASLA are related to hypertension,^[40,265,266] it could also mean that stroke recurrence in lacunar first stroke is more strongly related to hypertension than the other stroke subtypes. However, hypertension did not emerge as an independent predictor of recurrent stroke. This may be due to the effect of hypertension treatment, or to the fact that hypertension is a strong risk factor for stroke in general, and may, therefore, not be detected as a discriminator between stroke subtypes regarding its prognostic significance. LA was also a predictor in lacunar stroke, which is a plausible finding, since it is associated with silent lacunar lesions, which mostly occur among lacunar stroke patients.^[40,265,266] However, LA independently predicted recurrent stroke in LACI patients, which sustains the idea that the presence of small vessel disease both in basal ganglia and cerebral white matter not only increases the risk of progression of these lesions over time^[265], but also the risk of clinically manifest strokes. This issue is further discussed in chapters 7 and 8.

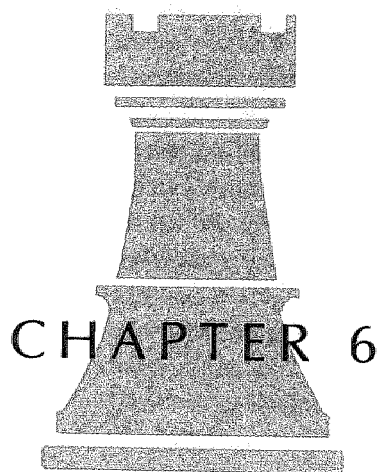
Apart from DM, no clear independent predictor for recurrent stroke was found in CE. This may indicate that the underlying cardiac cause of stroke in this group is the sole important risk factor for future stroke. The fact that oral anti-coagulant treatment is highly efficacious in this stroke subtype in lowering the chance of recurrent stroke, sustains this idea.^[10]

We found ipsilateral ICA stenosis to be a significant predictor only in the AT type. This finding is pathologically plausible and concurs with data from the carotid surgery trials.^[5,12] This finding does not mean that a significant ICA



stenosis should never be regarded as the cause of stroke in lacunar stroke. First of all, carotid artery ultrasound investigations were not performed in all our patients, which may have created bias. However, as patients with more severe deficit due to territorial infarcts rather than lacunar patients could not undergo ultrasound investigations, our finding may rather be biased towards under- than overestimating the association between ICA stenosis and AT. Furthermore, some patients with small vessel disease may also develop clinical manifestations of concomitant large vessel disease, whereas it can not be excluded that large vessel artery-to-artery embolism caused a first lacunar stroke (see chapter 7 and 8). Besides, carotid endarterectomy has also been proven to lower the chance of recurrent stroke in lacunar infarct patients with a high-grade ICA stenosis. [122,217]

In conclusion: although the chance of recurrent stroke is similar among ischemic stroke subtypes, risk factors and temporal manifestation are different. This may point not only at a heterogeneity in underlying pathology among stroke subtypes, but also in the way they manifest themselves clinically over time. Consistency in findings pointing towards different ischemic stroke “phenotypes”, may facilitate further exploration into the nature of the underlying vascular pathology.





FUNCTIONAL OUTCOME AFTER A FIRST CEREBRAL INFARCT



INTRODUCTION

Despite the fact that stroke is a life-threatening disease, most patients survive a first stroke, prognosis being better in ischemic than in hemorrhagic stroke. [31,70,93,126,148,207,209] In those who survive a stroke, the degree of functional handicap varies. After one year, approximately 30–40 percent is still partially or totally dependent on the support of others.^[129,96,133,138,202] Because of the increasing life expectancy in the Western World, and increased survival rate following stroke, especially the number of functionally handicapped elderly stroke patients is likely to rise in the near future. Accurate prediction of the degree of functional handicap is not only of importance to individual patients, but also from the prospect of health care management. Various factors that are related to functional outcome after stroke, have been studied, such as: age, the degree of motor deficit, aphasia, lesion site, lesion size, emotionalism, neglect, dysarthria, and bladder incontinence.^[review 141] Factors that may serve as independent predictors of functional outcome have varied widely between studies, which is probably due to differences in study design and study methods. Obviously, functional outcome is difficult to predict, which may relate to the possibility that various functional outcome predictors have different impact depending on the stroke subtype. Outcome prediction has often been studied without accounting for possible differences between stroke subtypes. As the degree of initial neurological deficit and the underlying pathology differs between stroke subtype, the impact of any prognostic factors may vary between stroke subtypes.

Ideally, functional outcome prediction should be reliable and robust, using a limited number of predictors that are easy to measure in all patients. The OCSP classification of ischemic stroke in only four categories,^[32] based on clinical syndromes has proven its use in clinical trials, although one should realise that an OCSP syndrome diagnosis was allotted post hoc in most trials. However, the OCSP classification does not distinguish individual predicting factors. Identifying independent predictors of stroke outcome could offer the possibility to modify these factors to try and improve prognosis following stroke.

Therefore, in this study we explored a number of easy to measure clinical and CT scan features that could possibly predict functional outcome in 998 patients with a first cerebral infarct, accounting for stroke subtype.



PATIENTS AND METHODS

These are extensively described in chapter 2. For this study we used the dichotomised modified Rankin scale (grades 0–1–2–3 versus grades 4–5) as a measure for functional independency versus functional dependency in surviving patients. This Rankin grade was determined at the end of the follow-up period.

STATISTICAL EVALUATION

We calculated the proportion of dependent survivors for the ischemic subtypes at the end of the follow-up period. For duration of follow-up, see table 7.1

We performed multivariate logistic regression analysis to determine associations for unfavourable functional outcome defined as Rankin grades 0–1–2 or 3 versus grades 4 or 5 in surviving patients. In this analysis we used a standard model of risk factors (DM, IHD, age, RR, and sex) to which the other risk factors one by one were subsequently added and removed. Results are represented as odds ratio (OR) with 95% confidence interval (CI), and p-value in case of significant associations. These analyses were done for the whole group, and for the ischemic subtypes.

RESULTS

Table 6.1 shows the numbers of survivors at the end of follow-up, and proportion of patients functionally dependent, independent, or dead. Tables 6.2 and 6.3 show the differences in dependency at stroke onset and at the end of the follow-up. Table 6.4 shows the results of the logistic regression analysis for unfavourable functional outcome in survivors at the end of follow-up. Age, stroke subtype (LACI better than both AT and CE), degree of neurological deficit at first stroke, the presence of asymptomatic LACI or LA on CT, and stroke recurrence were significant independent predictors of functional outcome in stroke survivors. More severe neurological deficit was the most powerful predictor of unfavourable outcome, and lacunar stroke subtype the most powerful predictor of favourable functional outcome at the end of follow-up.



table 6.1 functional dependency at stroke onset and at end of follow-up in the infarct subtypes

	laci	at	ce
number	339	435	224
dependent at stroke onset	105 (31)	261 (60)	153 (68)
mortality end of follow-up	88 (26)	156 (36)	117 (52)
survivors	251	279	107
dependent end of follow-up	18 (7)	61 (22)	22 (21)

numbers are absolute numbers; numbers in brackets are percentages

table 6.2 comparison of functional dependency at end of follow-up between the ischemic stroke subtypes

	odds ratio	confidence interval	p-value
laci vs at	0.28	0.16 - 0.48	<0.001
laci vs ce	0.3	0.15 - 0.59	<0.001
at vs ce	1.08	0.36 - 3.21	

table 6.3 comparison of functional dependency at stroke onset between the ischemic stroke subtypes

	odds ratio	confidence interval	p-value
laci vs at	0.3	0.22 - 0.40	<0.001
laci vs ce	0.21	0.15 - 0.30	<0.001
at vs ce	0.7	0.49 - 0.99	<0.05



table 6.4 associations by logistic regression analysis for functional dependency in stroke survivors, ischemic stroke subtype included in standard model

	odds ratio	95% ci	p-value
dm	1.57	0.89 - 2.78	
ihd	0.85	0.50 - 1.46	
age 1	2.26	1.26 - 4.03	0.0059
age 2	4.9	2.69 - 8.94	<0.0001
rr	0.82	0.51 - 1.30	
sex	1.22	0.77 - 1.96	
str type at vs laci	3.76	2.12 - 6.69	<0.0001
str type ce vs laci	2.69	1.34 - 5.41	0.0053
adl	5.67	3.28 - 9.80	<0.0001
asla	2.38	1.42 - 4.01	0.0011
copd	2.01	0.96 - 4.24	
la	2.25	1.32 - 3.84	0.0028
sten	1.75	0.89 - 3.43 ¹	
recurrent stroke	3.39	1.81 - 6.36	0.0001

1: age 1 ns; functional disability as measured at the end of the follow-up

Table 6.5 shows the results of the logistic regression analysis for unfavourable functional outcome separately in the three stroke subtypes. In LACI high age, stroke severity, LA, and recurrent stroke were all powerful, independent predictors of unfavourable outcome. In AT high age, stroke severity, and recurrent stroke were predictors, and in CE stroke severity, and asymptomatic LACI. Most remarkable are the findings of LA in LACI, and of asymptomatic LACI in CE as predictors of functional outcome.



table 6.5 associations by logistic regression analysis for functional dependency in the infarct subtypes

	laci			at			ce		
	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value	odds ratio	95% ci	p-value
dm	2.93	0.85 - 10.02		1.19	0.53 - 2.65		2.11	0.64 - 6.96	
ihd	0.38	0.07 - 1.93		0.97	0.49 - 1.92		0.89	0.29 - 2.72	
age 1	4.94	0.95 - 25.70		1.93	0.49 - 3.96		2.39	0.56 - 10.08	
age 2	15.63	3.10 - 78.93	0.0009	4.74	2.18 - 10.32	0.0001	3.07	0.74 - 12.79	
rr	1.3	0.44 - 3.82		0.61	0.33 - 1.14		1.12	0.40 - 3.12	
sex	3.09	0.96 - 9.88		0.85	0.45 - 1.59		1.79	0.61 - 5.27	
adl	9.3	2.66 - 32.53	0.0005	4.89	2.39 - 9.99	<0.0001	9	2.28 - 35.53	0.0017
asla	2.22	0.75 - 6.57		1.78	0.87 - 3.65		6.88	2.00 - 23.71	0.0022
copd	2.12 ¹	0.49 - 9.23		2.27	0.85 - 6.09		0.72	0.07 - 7.42	
la	7.37	2.19 - 24.87	0.0013	1.57	0.76 - 3.22		2.02	0.54 - 7.49	
sten	3.22 ^{1,2}	0.23 - 45.28		1.3	0.60 - 2.80		3.76	0.54 - 26.01	
recurrent stroke	9.14	2.32 - 36.13	0.0016	3.66	1.44 - 9.26	0.0036	1.25	0.33 - 4.76	

1: sex (male vs female) significant; 2: dm significant, functional disability as measured at the end of the follow up



DISCUSSION

This chapter explored independent predictors of unfavourable functional outcome of ischemic stroke survivors at the end of follow-up. Stroke subtype was an independent predictor, with LACI predicting favourable, and CE most strongly predicting unfavourable functional outcome. The number of independent predictors depended on stroke subtype, with most predictors in LACI and the lowest number in CE. Stroke severity was the least powerful predictor in LACI, whereas it was the strongest predictor in CE. Obviously, eventual functional outcome in stroke survivors is influenced by a number of factors depending on initial stroke severity, which is related to stroke subtype. What determines functional outcome in the first place is initial stroke severity related to stroke subtype. In CE the usual vascular risk factors do not contribute to risk of unfavourable functional outcome. Even age nor recurrent stroke contributed to this risk, which reflects the strong predictive power of the stroke subtype itself for unfavourable functional outcome. A remarkable finding was asymptomatic LACI as independent predictor in the CE subtype. This could reflect the possibility that in CE not only infarct volume but also infarct multiplicity contributes to the degree of functional handicap. In AT patients age and recurrent stroke, besides initial stroke severity were independent predictors. In LACI, obviously, not the degree of initial stroke severity but the impact of various factors dictate the degree of eventual functional handicap in survivors. LA was an evident predictor in this stroke subtype. That “silent” lacunar lesions and LA influence functional outcome is biological plausible: these lesions may damage various neuronal projections, which result in disturbances of coordination, motor pattern activation, sensory integration, and mental speed, among others.

Why the stroke subtypes vary widely in the number of predictors of functional outcome may, besides the impact of stroke subtype-related degree of initial stroke severity, also relate to differences between the subtypes in risk of recurrent stroke and its severity, and the risk of death. As more CE patients had died during follow-up, the duration of follow-up in CE stroke survivors was considerably shorter than in the other two subtypes (see chapter 5), as death marked the end of follow-up in our cross sectional study. In the Rochester population,^[202] functional disabilities were different across the ischemic types as well, similar to our



study, LACI being associated with milder neurological defects compared to the other subtypes.

The classical vascular risk factors had hardly any significant associations with functional outcome. They are however predictors of recurrent stroke, death, or both, which in turn influence analysis on global outcome (see chapters 4 and 5). These findings suggest that the risk of unfavourable functional outcome in stroke survivors is unlikely to be lowered by more diligent treatment of risk factors. They also suggest however, that the patients with one or more of the classical risk factors do not have a higher risk of more unfavourable functional outcome than patients without the classical risk factors. Attempting to lower the degree of initial stroke severity looks more promising. This can be achieved by stroke unit treatment, thrombolysis, and hopefully in the near future, by acute stroke neuroprotective measures. Also, lowering the risk of recurrent stroke may ameliorate prognosis on eventual functional outcome in stroke survivors (see chapter 5).

A drawback of the study is the fact that a single cross-sectional follow-up on the degree of functional handicap was performed instead of follow-up at regular pre-specified time intervals. Differences in stroke survival and consequent duration of follow-up between stroke subtypes may have influenced the differences we found between them. On the other hand, findings were rather consistent between stroke subtypes on the (absent) role of vascular risk factors, and on the predictive value of age, and of initial stroke severity. Therefore, we think that the follow-up method did not render the data on functional outcome in our sample of stroke survivors invalid, or biased in any major way.

We conclude that the degree of eventual functional outcome in ischemic stroke survivors is unlikely to be improved by more diligent treatment of modifiable risk factors, independent of lowering the risk of recurrent stroke. Therapies that limit the degree of initial stroke severity may contribute substantially to more favourable long-term functional outcome. Admittance to a stroke unit, and thrombolytic treatment are currently established means to this end.





CHAPTER 7



SUBTYPE AND BRAIN TERRITORY OF RECURRENT STROKE POINT AT HOMOGENEITY OF UNDERLYING STROKE CAUSE OVER TIME



INTRODUCTION

Stroke is a recurrent disease. Approximately one fourth of hospital admissions for stroke are for recurrent stroke.^[130,138] Risk factors for the development of first ever stroke are well defined,^[53] whereas those for recurrent stroke are less clear. No independent factor has been associated with an increased or decreased risk of recurrent stroke.^[101,105] This indicates that the mechanisms underlying recurrent stroke are complex and multi-factorial, and that they may differ from the mechanisms underlying first-ever stroke.

Not only the risk of recurrence, but also stroke severity and consequent prognosis of recurrence may differ between stroke subtypes.^[56] Therefore, knowledge on how often recurrent stroke is of the same type as the first-ever stroke is relevant for prognosis, as is knowledge on factors that predict a certain recurrent stroke subtype. Data on these issues are scarce and contradictory as studies so far were small;^[57,145,183,184,231] used selected patients such as those from clinical trials, whereas subtype diagnosis in these patients was done in retrospect using non-validated symptom lists ^[2,12]; studies were retrospective ^[228,284]; recurrent stroke subtype ascertainment was insufficient;^[34,56,111,143,240,219,220,223,201,202] studies were restricted to stroke subgroups, mainly lacunar stroke; ^[34,65,90,106,175,204,226,229,230,231] did not use first ever stroke patients; ^[65,97,107,111,125,130,139,172,183,184,273,274,276] or used insufficient ischemic subtyping. ^[16,57,62,125,192,273,274,276] Other problems were: insufficient CT in first (and recurrent) stroke, inclusion of hemorrhage or TIA, or restricted age populations. In addition to their relevance for patient management, data on recurrent stroke may provide information about heterogeneity of the underlying vascular pathology and how this develops over time. Pathogenetically homogeneous vasculopathies may be detected, which may eventually lend themselves better to study the nature of cerebral vascular diseases on a cell-biological level.

In this study, we present the follow-up results of 998 patients with first-ever ischemic brain infarct prospectively registered, with CT confirmation of the recurrent stroke type in the majority, and report on the following issues concerning stroke recurrence: subtype of recurrence in relation to type of presenting infarct, independent predictors for type of recurrence, and whether stroke recurrence occurred in the same brain territory as the first stroke.



PATIENTS AND METHODS

The methodology of the study has been described extensively in chapter 2. For this chapter we established type of recurrent stroke. We also studied whether recurrent stroke occurred in the same brain territory as the first stroke (cerebral hemisphere, or brainstem/cerebellum).

STATISTICAL EVALUATION

Differences between groups were compared by unadjusted odds ratios with 95% confidence interval (chi square). We performed logistic regression analyses to look for independent predictors of type of recurrence (dependent variable LACI versus nonLACI; AT vs nonAT, and LACI or PICH vs nonLACI and nonPICH). For further details of logistic regression analysis see chapters 2, 4, 5, 6.

RESULTS

Mean follow-up was 691 days \pm 521 (SD) for all patients (death marking the end of the cross sectional follow-up), for survivors 881 \pm 465 (SD). Table 7.1 shows the number of first recurrent stroke and the duration of follow-up in the different stroke subgroups. Differences in follow-up duration are related to differences in death rate between the stroke subgroups. Table 7.2 shows the recurrent stroke subtype related to the first stroke subtype. Nine recurrent strokes could not be classified because no CT had been performed, or due to the absence of sufficient clinical information, or both. Fifty-seven percent of lacunar, 83 percent of atherothrombotic, and 94 percent of cardioembolic strokes were of the same subtype as the first stroke. Table 7.3 shows how often recurrent stroke of the same subtype as the first stroke was in the same brain territory as the first stroke. Seventy percent of lacunar (OR: 3.36; 95% CI: 0.97-11.67), and seventy nine percent of AT recurrent strokes that were 'true to type' occurred in the same brain territory as the first stroke (OR: 5.31; 95% CI: 1.72-16.45), whereas this was the case in only thirty-nine percent of CE recurrent strokes. Recurrent lacunar infarcts that were "true to type" occurred after a mean of 382 \pm 335 (SD)



table 7.1 duration of follow-up in days and number of recurrent strokes

	n	recurrent strokes	follow-up	
			all	survivors
all	998	138	691 (521)	881 (465)
laci	339	49	832 (521)	927 (509)
at	435	53	661 (498)	843 (441)
ce	224	36	537 (509)	870 (412)

numbers in brackets are standard deviation; follow-up in days is given as mean and (standard deviation)

table 7.2 type of recurrent stroke following first ischemic brain infarct

recurrent type	index type		
	laci	at	ce
laci	27 (57)	4 (9)	0 (0)
at	10 (21)	38 (83)	0 (0)
ce	4 (9)	0 (0)	33 (94)
pich	6 (13)	4 (9)	2 (6)
sah	0 (0)	0 (0)	1 (3)
unknown	2 (4)	7 (13)	0 (0)

numbers in columns are absolute numbers of recurrent stroke; numbers in brackets are percentage of recurrent stroke. leaving unknown, sah, and undetermined out



table 7.3 recurrent stroke type and brain area of recurrence related to first infarct

	recurrent		same brain area		different brain area		unknown brain area
	stroke type	percent	n	percent	n	percent	
first stroke laci	laci 27 at 10	57	19	70	8	30	
	pich 6	21	3	30	7	70	
	ce 4	13	1	25	3	75	2
	unknown 2	9	2	50	2	50	
		4	2		0		
first stroke at	laci 4	9	3	75	1	25	
	at 38	83	30	79	7	18	basilar 1
	pich 4	8	1	100			3
	unknown 7	13	2	66	1	33	4
first stroke ce	ce 33	94	11	39	17	61	5
	pich 2	6	0	0	2	100	
	sah 1						1

percentages calculated leaving unknown type, sah, and unknown brain area of recurrence out



table 7.4 time interval between first and recurrent stroke (days)

	rec	delay	same brain area	different brain area
laci	laci	456	382	630
	at	703	864	638
	ce	128	53	203
at	at	257	258	251
	laci	777	1002	102
ce	ce	357	324	356

table 7.5 associations by logistic regression analysis for recurrent stroke type; lacunar versus nonlacunar recurrent stroke

	odds ratio	95% CI	p-value
dm	0.77	0.22 - 2.67	0.0314
ihd	0.87	0.25 - 2.99	
rr	3.49	1.09 - 11.16	
sex	0.31	0.09 - 1.15	
age group 2 vs 1	1.23	0.34 - 4.40	<0.0001
age group 3 vs 1	0.79	0.18 - 3.60	
first type laci vs nonlaci	14.29	4.00 - 50.00	

table 7.5.1 associations by logistic regression analysis for recurrent stroke type; lacunar infarction or PICH versus nonlacunar nonPICH

dependent variable	odds ratio	95% CI	p-value
dm	0.78	0.27 - 2.28	<0.0001
ihd	0.78	0.28 - 2.22	
age group 2 vs 1	1.2	0.37 - 3.90	
age group 3 vs 1	1.2	0.34 - 4.24	
rr	2.03	0.76 - 5.46	
sex	0.58	0.20 - 1.71	
index laci vs at	10	3.70 - 33.33	
index laci vs ce	33.33	7.69 - 200	<0.0001



table 7.6 associations by logistic regression analysis for recurrent stroke type; atherothrombotic versus non-atherothrombotic

	odds ratio	95% CI	p-value
dm	1.73	0.49 - 6.08	
ihd	0.87	0.24 - 3.17	
age group 2 vs 1	1.05	0.26 - 4.21	
age group 3 vs 1	0.56	0.12 - 2.56	
hypertension	0.17	0.05 - 0.59	0.0053
sex	2.37	0.62 - 9.11	
index at vs laci	24.12	6.92 - 84.02	<0.001

table 7.7 30-day case fatality rate after recurrent stroke compared with first infarct

	30 day cf	rec stroke	30 day cf recurrence	OR (95% ci)	p-value
laci	339 7 (2)	49	7 (14)	7.90 (2.78 - 22.48)	<0.001
at	435 43 (10)	53	14 (26)	3.27 (1.62 - 6.60)	<0.001
ce	224 51 (23)	36	11 (31)	1.47 (0.55 - 3.93)	

cf: case fatality; numbers are absolute numbers; numbers in brackets are percentages

table 7.8 percentage recurrent stroke per year

	all	survivors
all	7	9
laci	6	8
at	7	8
ce	11	14



table 7.9 data on recurrent stroke types from other studies

Gandolfo 7 yr follow-up laci	recurrence	laci 30% infarct 38% pich 8% TIA 17% ? 8%	
Sacco laci	86% isch 14% pich	17% laci	94% isch 6% ich
Samuelsson laci 1 yr follow-up	n=6 rec strokes	4 laci 1 corti 1 no lesion CT	follow up 48 monts 27 strokes 3 pich 16 laci 6 corti 1 CAA 1 retina
Clavier nfe laci	n=16 rec	4 laci 5 corti 2 tia 2 pich 2 large vessel	asympt rec 4/9 cortex 5/9 laci
Yamamoto ce nonlaci,nonce pich laci pich isch	same 77 % same 65% same 58% same 48% isch 42% pich 5%		



Kappelle

small vessel	small vessel 28% large vessel 36% fossa post 13% large vessel 61% small vessel 15% fossa post 7%	16/30 same hemisphere 25/39 35/67 10/16	asympt rec	9/14 sv 6/9 sv
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Boiten

1 yr rec laci	6/7 laci 3 ipsi, 3 contra 1/7 hemorrh inf 2/2 corti
1 yr corti	

Sacco

64 rec	24/64 iatrogenic 31/40 same type 9/40 cross-over	5 embolic	4 laci	1 iuc 1 tap 1 other 1 pich
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Lehigh

first type	second type
thrombosis 14 %	5%
embolus 23%	27%
lacune 9%	5%
non specific inf 48%	46%

Petty

110 rec	75/110 (68%) true to subtype recurrence
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days if in the same, and 630 ± 533 (SD) days if in a different brain territory (table 8.7), this difference was not statistically significant (independent T-test 0.154). For AT recurrences the figures were 258, and 251 days, respectively, and for CE: 324, and 356 days, respectively (table 7.4). Table 7.5 shows the results of the logistic regression analyses for independent predictors of lacunar versus non-lacunar recurrent stroke subtype. Lacunar first infarct appeared as a strong predictor. Also, hypertension was an independent predictor of lacunar type stroke recurrence. In table 7.5.1 the results for lacunar or PICH subtype versus nonlacunar nonPICH recurrent stroke (as a measure of small vessel vs nonsmall vessel recurrent type) are given. Table 7.6 shows the results for the AT recurrent stroke subtype. Here, hypertension was inversely related to AT subtype stroke recurrence, whereas first AT stroke subtype was a strong independent predictor. Thirty-day case fatality rate after recurrent stroke was more than double the rate after index infarction (23 vs 11% for the whole group, table 7.7).

DISCUSSION

After a mean follow-up of almost two years in our 998 patients, we found fourteen percent stroke recurrences. Percentage of recurrent stroke and the number per follow-up years were similar among the different stroke subtypes (see chapter 5). Most recurrent strokes were of the same subtype as the first stroke. First stroke subtype was a strong independent predictor of recurrent stroke subtype. These findings indicate a high degree of homogeneity as to the underlying vascular pathology of stroke subtypes over time. We found that over two-third of the 'true to type' stroke recurrences were located in the same brain territory as the first stroke in lacunar and atherothrombotic infarcts, which supports the idea of homogeneity of the underlying vascular pathology and predilection as to location over time. Cardioembolic recurrent strokes were significantly less often in the same area than lacunar or atherothrombotic recurrences, which is in line with the idea that cardiogenic emboli have no preference to a certain vascular territory as opposed to atherothrombotic and lacunar stroke. We did not expect to find most lacunar stroke recurrences in the same area as the first lacunar stroke, as we considered cerebral small vessel disease likely to be more generalised instead of more restricted to a certain area. However, most lacunar strokes are probably due



to atheromatosis of the small, deep vessels which, as atheromatosis of large vessels obviously shows a territorial predominance over time. So, this predominance may be related to the nature of the vasculopathy which is atheromatosis of the large vessels in atherothrombotic stroke, and small vessel atheromatosis in most lacunar strokes. The other small vessel vasculopathy, which is arteriolosclerosis, may show less territorial restriction over time, and in fact our data in chapter 8 confirms this idea: recurrent lacunar stroke in patients who likely have arteriolosclerosis is not predominantly in the same area as the first stroke.

Not all recurrent strokes were of the same type as the first stroke, especially in the lacunar stroke group. However, six recurrent strokes in the lacunar stroke group were intra-cerebral haemorrhage, most of which are caused by small vessel disease. About twenty percent of the recurrences in the lacunar group were atherothrombotic infarcts, and about ten percent in the atherothrombotic group recurrences were of lacunar type. Obviously, the two types of cerebral vascular diseases are not mutually exclusive. This may be due to extension of atheromatosis to different territories as it progresses over time. However, the degree of difference in the clinical manifestation of small or large vessel disease, even if pathologically of similar nature, is greater than its degree of similarity over time, as most recurrent ischemic strokes were of the same subtype as the first stroke, and occurred in the same brain area. Non-lacunar recurrent strokes in the cardioembolic subgroup, are by definition cardioembolic again, unless the cardiogenic cause at the time of first stroke disappeared. But there were no recurrent lacunar strokes in this group, which confirms the idea that cardiogenic strokes are basically different from strokes caused by (pre-)cerebral vascular disease. This difference is also reflected in the effect of secondary stroke prevention by oral anticoagulants, which, as currently known, is quite different between cardioembolic and remaining other ischemic stroke subtypes.

Many studies state that stroke recurrences are predominantly of the same type as the first stroke. However, they rarely provided sufficient details to verify that statement. As mentioned in the introduction, most studies suffered from significant methodological flaws, largely invalidating interpretations. Whether recurrent stroke was “true to type” was best investigated in series of lacunar stroke patients, and percentages varied from 23 to 63.^[29,41,65,90,132,175,227,229, 230,231,284] Other lacunar stroke series however were not able to comment on the pathological type of the recurrent stroke at all.^[34,111,145,226] These series, of course, gave

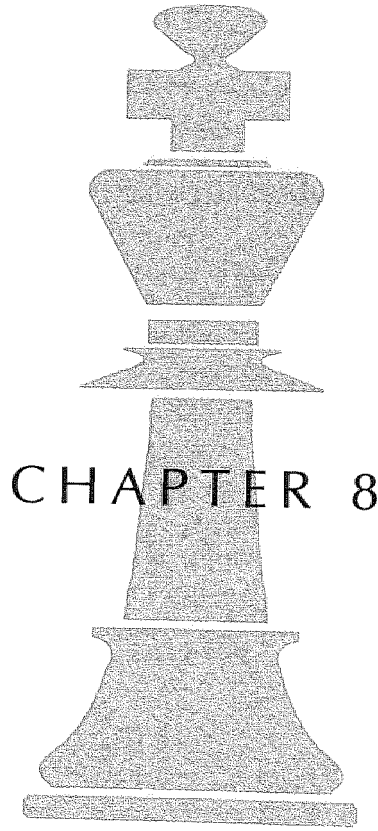


no information on the percentage of similar recurrent stroke in non-lacunar patients.

From the patient's perspective it is important to know that the risk of stroke recurrence is independent of stroke subtype. For lacunar stroke patients it may be comforting to know that they have a more than fifty percent chance that a recurrence is again lacunar. Diligent treatment of hypertension may especially be relevant for these patients, as we found hypertension to be an independent predictor of recurrent lacunar infarction, despite the fact that our patients received usual preventive therapy. However, we did not measure compliance to these therapies, and it is known that many stroke patients do not receive or take standard preventive treatment (see chapter 5).

A major finding in this chapter is that small and large vessel disease show a high degree of homogeneity as to vascular pathology and vascular territory involved over time. However, some ten to twenty percent of patients have, or develop, manifestations of both vasculopathies over time. Hypertension is an independent predictor of recurrent lacunar infarcts. Further study into the nature of especially cerebral small vessel disease may be linked to the study of hypertension, but further distinction of two different lacunar stroke subtypes may be relevant in this respect (see chapter eight). What causes atheromatosis to manifest itself in large or small vessel territory, remains unclear. The reason for this difference may be a target within reach to be studied on a cell biological level, to further explore the basic nature and cause of cerebral vascular disease.







TWO TYPES OF LACUNAR INFARCTS:

Further evidence from a study on prognosis

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INTRODUCTION

Lacunar infarcts are mainly caused by small vessel disease occluding a small perforating artery. At autopsy, Fisher distinguished two types of underlying vascular pathology: lipohyalinosis and micro-atheromatosis.^[83,84,85,86,87] Lipohyalinosis was mainly present in patients who had hypertension during life, whereas the lacunes Fisher found were small, multiple, and asymptomatic. Micro-atheromatosis was mainly found in cases with single, larger, symptomatic lacunes. Periventricular white matter hypodensities on CT, or so-called leukoaraiosis, has also been shown to be caused by lipohyalinosis of white matter perforating small arteries.^[113,14,151,280] Leukoaraiosis has also been associated with hypertension.^[151,73,79,152,263] Earlier we found that lacunar stroke patients with one or more asymptomatic lacunar infarcts on CT, significantly more often had leukoaraiosis and hypertension than patients without asymptomatic small deep infarcts.^[40] Moreover, we found that the symptomatic lacunar infarcts were larger than the asymptomatic ones. These data concurred with Fisher's pathologic findings. Based on these data we hypothesized that the two types of underlying small vessel pathology (lipohyalinosis and microatheromatosis) could be distinguished during life, and that hypertension, and probably especially severe hypertension, is more strongly related to lipohyalinosis. Pathological studies suggested that the term "arteriolosclerosis" would be more appropriate than lipohyalinosis, although the reasons behind this preference differed between studies.^[144,264]

Results from clinical studies,^[170,245] a study on CBF in lacunar stroke,^[176] studies on cerebrovascular reactivity,^[178,191] a study on cerebral bloodflow,^[190] and from a study in neurologically normal people^[241,255] concur with our hypothesis. Further evidence that a similar small vessel vasculopathy underlies both leukoaraiosis and multiple small deep infarcts came from a follow-up CT study, that showed marked progression of both these phenomena, occurring mainly in lacunar stroke patients.^[267] Two other studies also showed progression of white matter lesions in lacunar stroke.^[236,249] Others found no evidence in favour of the hypothesis on two different types of lacunar strokes,^[165,227] or stated that similar underlying pathology in silent lacunar stroke and leukoaraiosis remains unclear.^[82,113,114] It is unknown how lesion progression influences prognosis following a first lacunar stroke. If patients with a single symptomatic lacunar stroke would



have better prognosis over time than those with silent lacunar lesions besides the symptomatic lacunar stroke, this would provide further arguments in favour of two distinct types of lacunar stroke. To test this hypothesis we performed a follow-up study in 339 patients with a first lacunar stroke.

PATIENTS AND METHODS

These were extensively described in chapter 2. Specifically, the lacunar stroke type was divided in two separate subtypes: lacunar patients with evidence of asymptomatic lacunar lesions on CT (LACI+), versus lacunar patients without such lesions (LACI-). To contrast both types even further, we also used a second division of the lacunar stroke type: lacunar patients with both at least one asymptomatic lacunar lesion and leukoaraiosis (LACI+/+), versus patients with neither (LACI-/-).

STUDY POPULATION

Of 998 patients 339 (34%) had lacunar stroke. Of these, 333 had at least one CT when they had the first stroke. Of the 48 patients with a recurrent stroke after a first lacunar infarct, 37 patients were admitted to our hospital, and in 36 (75%) of all recurrences a CT was made.

Mean time between first stroke and final follow-up was 785 days for the group with asymptomatic lesions (SD 479), and for the group without 865 days (SD 545). For the survivors mean duration of follow-up was 872 days (SD 459) for the group with asymptomatic lesions versus 953 days (SD 526) for those without.

STATISTICAL EVALUATION

For both lacunar subtypes we calculated and compared the baseline characteristics, testing for significance of difference (univariate analysis, chi square, odds ratio with 95% confidence interval. When a variable was significant differently distributed between the two types, a p-value was calculated as well). We



compared 30-day, one-year and total mortality, and 30-day, one-year and total stroke recurrence, as well as ultimate functional outcome between stroke subtypes with the same tests.

We performed a logistic regression analysis with the lacunar subtype as the dependent variable, and sex, age, diabetes, ischemic heart disease, and hypertension as independent variables in a standard model, with later inclusion of leukoaraiosis in the model (associations are expressed as odds ratio with 95% confidence intervals, *p*-values for significant associations).

We also performed logistic regression analyses with 30-day and 1-year mortality, and 30-day and one-year stroke recurrence, and ultimate functional outcome, and vascular death as the respective dependent variables with the same standard model as defined above with later stepwise inclusion of leukoaraiosis and the lacunar subtype in the standard model. Cox regression analysis for survival, and for stroke recurrence were done with the lacunar subtype added to the standard model, with later addition of leukoaraiosis to look for significant predictors in a time dependent analysis.

We also determined the influence of both lacunar subtype and leukoaraiosis on the brain area of recurrent stroke.

Finally, we performed Kaplan-Meier analyses for survival, and for recurrence (survival free of stroke) with the lacunar subtype as the different strata, and with log rank tests for significance.

For the comparison of recurrent subtypes in the lacunar subtypes we used univariate chi square analysis.

RESULTS

Six of the 339 lacunar strokes had no CT done at the time of first stroke and were left out of the analysis. Of the 333 remaining LACI, 104 had at least one symptomatic small deep ischemic lesion on CT, and these were compared with the 229 without such lesions. Table 8.1 shows the characteristics of these two groups. Hypertension was more frequent in the LACI+ group, but this was not a statistically significant difference. LA was highly statistically significant more frequent among LACI with, than those without silent lesion(s). Logistic regression analysis

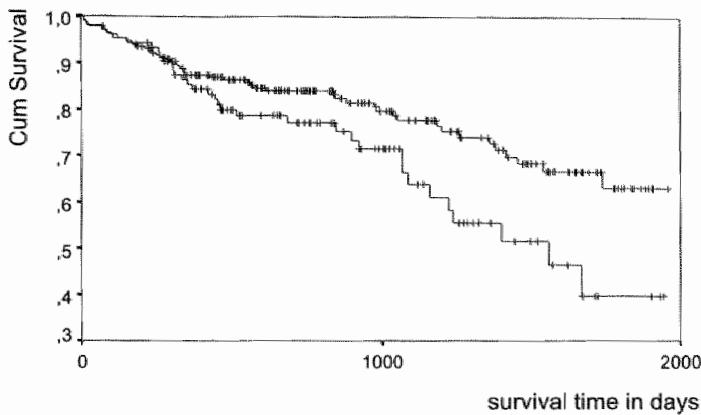


figure 8.1 survival time in days, comparison between laci- and laci+
upper line = laci-, lower line = laci+; log rank 5.26; sign 0.0218

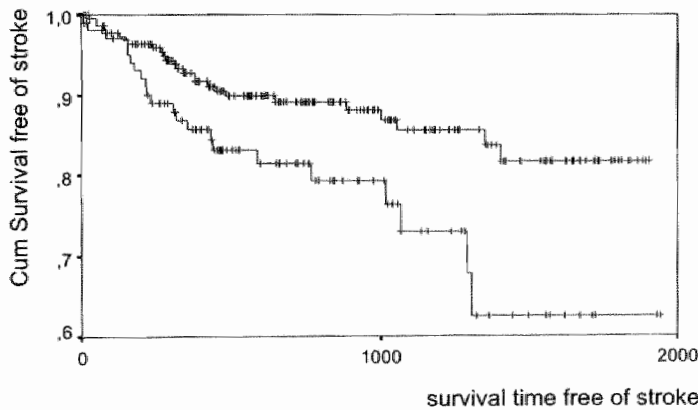


figure 8.2 survival time free of stroke in days, comparison between laci- and laci+
upper line = laci-, lower line = laci+; log rank 6.30; sign 0.0121



table 8.1 characteristics of 104 lacunar strokes with at least one silent small deep lesion (laci+) and 229 without (laci-)

	LACI+	LACI-	OR (95% CI)
mean age in years	72	70	
diabetes mellitus	23 (22)	42 (18)	1.26 (0.63 - 2.55)
ischemic heart disease	19 (18)	48 (21)	1.26 (0.63 - 2.55)
hypertension	51 (49)	90 (39)	1.46 (0.88 - 2.41)
ICA stenosis	4 (5)	21 (11)	0.40 (0.12 - 1.35)
COPD	16 (15)	23 (10)	1.63 (0.74 - 3.56)
cardiac source of embolism	16 (15)	32 (14)	1.12 (0.31 - 4.06)
leukoaraiosis	63 (61)	33 (14)	9.13 (5.48- 15.21)*

* $p<0.001$; numbers are absolute numbers; numbers in brackets are percentages

table 8.2 features associated with laci+ vs laci- by logistic regression analysis

	OR	95% CI	p-value
sex	0.77	(0.47 - 1.26)	
age 1	1.61	(0.92 - 2.83)	
age 2	2.37	(1.29 - 4.36)	0.0057
diabetes mellitus	1.23	(0.69 - 2.21)	
IHD	0.78	(0.43 - 1.43)	
hypertension	1.69	(1.05 - 2.74)	0.0322
LA	10.01	(5.49 -18.25)	<0.0001



table 8.3 mortality and stroke recurrence rate at 30 days and one year, and functional outcome at the end of follow-up in laci+ vs. laci-

	LACI+	LACI-	OR (95% CI)
mortality			
30-days	1 (1)	5 (2)	0.43 (0.00 - 58.97)
one year	15 (14)	28 (12)	1.09 (0.11 - 10.53)
end of follow-up	34 (33)	50 (22)	1.74 (1.01 - 3.01) ³
stroke recurrence rate			
30-days ¹	2 (2)	1 (0.5)	4.08 (0.05 - 347.0)
one year ²	9 (11)	11 (6)	1.71 (0.54 - 5.38)
end of follow-up	22 (21)	26 (11)	2.09 (1.08 - 4.06) ³
unfavourable outcome			
rankin 4, 5 (n=70 and 159 respect.)	8 (11)	9 (5)	2.31 (0.72 - 7.40)
unfavourable outcome (R 4, 5, 6)	42 (40)	59 (26)	1.95 (1.17 - 3.26) ³

1: censored for follow up < 30 days; 2: censored for follow up < 1 year; 3: significant difference



table 8.4 associations by logistic regression analysis (mortality, stroke recurrence, unfavourable outcome)

	mortality		stroke recurrence		unfavourable outcome		Rankin 4, 5, 6	vascular death
	30-days	one year	30-days	one year	Rankin 4, 5			
sex	0.95 (0.17 - 5.26)	0.80 (0.39 - 1.61)	0.38 (0.02 - 6.18)	0.31 (0.10 - 0.94) ^d	2.88 (0.40 - 4.22)	1.38 (0.81 - 2.33)	2.11 (0.72 - 6.15)	
age 1	2.38 (0.20 - 7.88)	2.45 (0.97 - 6.17)	0.97 (0.05 - 18.06)	1.61 (0.55 - 4.71)	5.06 (0.46 - 26.91)	3.48 (1.80 - 6.70) ^d	1.01 (0.24 - 4.21)	
age 2	9.10 (0.89 - 92.57)	6.91 (2.73 - 7.40) ²	2.53 (0.09 - 67.96)	1.72 (0.43 - 6.86)	12.85 (2.47 - 66.86) ⁶	8.85 (4.39 - 17.84) ⁹	1.11 (0.25 - 4.98)	
diabetes mellitus	5.96 (1.19 - 29.72) ¹	1.19 (0.53 - 2.66)	1.87 (0.14 - 25.63)	3.29 (1.21 - 8.98) ⁵	2.88 (0.83 - 10.04)	2.23 (1.21 - 4.14) ¹⁰	2.80 (0.85 - 9.22)	
IHD	1.03 (0.17 - 6.57)	1.40 (0.64 - 3.07)	9.68 (0.78 - 120.60)	2.23 (0.80 - 6.21)	0.37 (0.07 - 1.96)	1.20 (0.63 - 2.28)	0.71 (0.21 - 2.35)	
hypertension	3.38 (0.55 - 20.62)	2.23 (1.10 - 4.50) ³	2.32 (0.17 - 31.92)	1.43 (0.53 - 3.86)	1.03 (0.33 - 3.15)	1.27 (0.75 - 2.16)	2.41 (0.82 - 7.08)	
LA	3.64 (0.54 - 24.95)	1.01 (0.44 - 2.34)	0.27 (0.01 - 6.46)	0.98 (0.28 - 3.45)	6.93 (1.90 - 25.29) ⁷	3.02 (1.59 - 5.75) ¹¹	1.29 (0.35 - 4.69)	
LACI+ vs. LACI-	0.77 (0.10 - 3.35)	0.93 (0.46 - 1.88)	4.69 (0.38 - 58.19)	1.94 (0.73 - 5.16)	2.22 (0.75 - 6.17)	1.63 (0.95 - 2.81)	0.50 (0.17 - 1.45)	

p-values: 1; 0.0296; 2; <0.0001; 3; 0.0256; 4; 0.0387; 5; 0.0201; 6; 0.0024; 7; 0.0034; 8; 0.0002; 9; <0.0001; 10; 0.0041; 11; 0.0008

p-values: 1: 0.0296; 2: <0.0001; 3: 0.0256; 4: 0.0387; 5: 0.0201; 6: 0.0024; 7: 0.0034; 8: 0.0002; 9: <0.0001; 10: 0.0041; 11: 0.0008



showed higher age, hypertension and leukoaraiosis to be independently associated with LACI+ (table 8.2).

MORTALITY

A higher percentage of patients had died at the end of follow-up in the LACI+ group (table 8.3). Logistic regression showed diabetes mellitus to be associated with 30-day case fatality rate, whereas higher age and hypertension were associated with one-year mortality (table 8.4). Cox regression showed age and diabetes mellitus as independent predictors of death, whereas LACI+ (versus LACI-), and LA were not (table 8.5). The Kaplan-Meier survival curves are shown in figure 8.1. LACI+ had less favourable survival than LACI- (log rank test: 0.0218).

RECURRENT STROKE

There were twice the number (%) of recurrent strokes in the LACI+ group (table 8.3) at the end of follow-up. Although the point estimate of the OR for 30-day stroke recurrence rate in LACI+ versus LACI- was 4.08, the difference was not statistically significant. The same applies to LACI subtype as independent predictor for early recurrence by logistic regression analysis. Sex and diabetes mellitus were significant predictors of one-year recurrent stroke (table 8.4). Cox regression detected diabetes mellitus and LACI+ versus LACI- as independent predictors of stroke recurrence (table 8.5). Six recurrent strokes were intracranial hemorrhages, and five of these occurred in the LACI+ group, constituting a quarter of all recurrences in this group, only four percent of recurrences were brain hemorrhages in the LACI- group (table 8.6). Nine of the fourteen non-lacunar recurrent infarcts occurred in the LACI- group. Kaplan-Meier curves on survival free of recurrent stroke are shown in figure 8.2. LACI+ had less favourable survival free of stroke than LACI- (log-Rank test: 0.0121).

FUNCTIONAL OUTCOME AT THE END OF FOLLOW-UP

LACI+ survivors had worse functional outcome (Rankin 4, 5), but this was not statistically significant (table 8.3). Prognosis for major handicap or death (Rankin 4-6) was significantly more unfavourable for LACI+.

High age and especially LA were independent predictors of unfavourable functional outcome (table 8.3). LACI+ was more than twice as strongly associated with unfavourable functional outcome, but the difference did not reach the level



table 8.5 cox proportional hazard analysis for mortality and recurrent stroke lacunar subtype included in standard model

	mortality	recurrent stroke
	HR (95% CI)	HR (95% CI)
sex	1.06 (0.68 - 1.65)	0.70 (0.39 - 1.27)
age 1	2.45 (1.31 - 4.55) ¹	1.23 (0.63 - 2.38)
age 2	5.94 (3.14 - 11.06) ²	1.55 (0.72 - 3.34)
diabetes mellitus	1.62 (1.00 - 2.61) ³	2.08 (1.12 - 3.88) ⁴
IHD	1.30 (0.79 - 2.16)	1.27 (0.64 - 2.51)
Hypertension	1.32 (0.85 - 2.06)	1.28 (0.71 - 2.30)
LA	1.74 (0.72 - 2.15)	1.75 (0.84 - 3.68)
LACI+ vs. LACI-	1.40 (0.89 - 2.18)	1.94 (1.08 - 3.48) ⁵

p-values; 1. 0.0048; 2. <0.0001; 3. 0.05; 4. 0.0213; 5. 0.0255

table 8.6 recurrent stroke type in the lacunar subtypes at end of follow-up

recurrent stroke type	LACI+	LACI-	OR (95% CI)
lacunar	12 (55)	14 (58)	1.03 (0.82 - 1.29)
atherothrombotic	4 (18)	6 (25)	0.74 (0.00 - 7.03)
cardio embolic	1 (5)	3 (13)	0.12 (0.00 -104.10)
intra cerebral hematoma	5 (23)	1 (4)	7.35 (0.57 - 94.27)
undetermined	0	2 (8)	

numbers are absolute numbers; numbers in brackets are percentages; leaving undetermined out

table 8.7 influence of both asymptomatic lacunar infarction and leukoaraiosis on brain area of recurrence

laci +/+			laci -/-		
rec	same area	different area	rec	same area	different area
15	7 (54)	6 (46)	19	14 (74)	5 (26)

recurrences are absolute numbers with known side of recurrence; number in brackets are percentages; odds ratio; for ipsilateral recurrence in laci -/- compared with laci +/+ is; OR 2.40 95% CI 0.27 - 21.57



of statistical significance, which was also the case for final major handicap or death (Rankin 4–6).

RESTRICTED ANALYSIS OF LACI +/+ VERSUS LACI -/-

There were 63 LACI+/, and 196 LACI-/-; 19% and 59% of all 333 LACI's, respectively. Hypertension (OR: 2.21; 95% CI: 1.18–4.15) was beside age (age group 2 versus 1 OR 2.25; 95% CI 1.06–4.77; age group 3 versus 1 OR 6.81; 95% CI 3.09–15.03) associated with LACI+/+ in the logistic regression analysis. At the end of the follow-up, there were 27 (43%) deaths and 7 (11%) severely handicapped patients in the LACI+/+ group, and 37 (19%), and 4 (2%), in the LACI-/- group, respectively; OR: 5.79 (95% CI: 1.60–20.95), OR: 3.22 (95% CI: 1.73–6.02), respectively.

There were 16 (25%) stroke recurrences in the LACI +/+ group (LACI: 6, AT: 5, PICH: 5), and 19 (10%) (LACI: 9, AT: 4, PICH: 1, CE: 3, undetermined: 2) in LACI -/- group; OR: 3.17 (95% CI: 1.48–6.81). Notice that five of the six PICH occurred in the LACI +/+ group, being almost one third of stroke recurrences in this subgroup. DM (OR: 2.31; 25%, CI: 1.12–4.78) and LACI +/+ type (OR: 2.78; 95% CI: 1.33–5.83) were significantly associated with recurrent stroke in the multivariate time-dependent proportional hazard analysis (Cox analysis).

Time interval between index and stroke recurrence was longer in the laci+/+ group (mean 495 days) than in the laci-/- type (mean 340 days).

Ipsilateral stroke recurrence occurred more often in the patient group without both asymptomatic laci and leukoaraiosis (table 8.7), although the difference does not reach statistical significance.

DISCUSSION

In this rather large, well-defined group of lacunar stroke patients we found hypertension and leukoaraiosis associated with lacunar stroke with one or more silent, deep, small ischemic lesions on CT. This finding concurs with that from an initial, smaller series of our patients.^[40] Pathological studies found that medullary small vessel arteriolosclerosis was the underlying vasculopathy in leukoaraiosis.



[83,84,85,86,87,144] Clinical and epidemiological studies related hypertension to lacunar infarcts,[79,86,247] and hypertension to leukoaraiosis.[73,158,152,236,238,289] Therefore, we hypothesized that small vessel arteriolosclerosis may be the main underlying vasculopathy in lacunar stroke patients with concomitant small, deep, silent lesions with hypertension as major risk factor, more severe hypertension perhaps being even more important.[40] Some authors found no evidence in favour of this hypothesis, but their study design did not allow reliable conclusions in this respect.[165,227] Others, however, sustained the idea of two different types of lacunar stroke.[170,176,178,241,245,255] A CT follow-up study supported the hypothesis, and also showed lesions to progress over time, despite customary secondary stroke prevention treatment.[267]

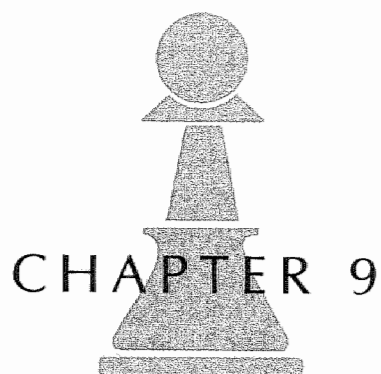
Our present results add further evidence to the idea of two different lacunar stroke types, as prognosis differed between the two groups, being more unfavourable in the patients with one or more silent lesions on CT. Difference in prognosis was even more pronounced when we contrasted the groups more sharply, by comparing lacunar stroke patients with leukoaraiosis in addition to one or more silent lacunar infarcts with patients without either of these features. Therefore, as risk factors differ, the association with leukoaraiosis differs, and lesion progression as well as prognosis differ, one may well speak of two lacunar stroke entities, with likely different underlying vasculopathies: small vessel atheromatosis in most patients with a single symptomatic lacunar stroke, and arteriolosclerosis in those with one or more silent lacunar lesions. Arteriolosclerosis is also the most frequent underlying vasculopathy in primary intracerebral haemorrhage,[37,124,136,140,189,214,215,235] with hypertension as most significant risk factor. Lacunar infarction and hypertension are predictors of primary intracerebral hemorrhage,[121,123] whereas white matter lesions are also related to primary intracerebral hemorrhage. Samuelsson and Norrving [230,231] found 15% of stroke recurrences in first lacunar infarction to be a hemorrhage. The fact that one quarter of all recurrent strokes in our patients with one or more silent lesions, and even one third of the more selected group, were PICH, further sustains the idea of arteriolosclerosis as the underlying vasculopathy in those patients.

Earlier we found that most first-ever symptomatic lacunar infarcts are located in the area supplied by the anterior choroidal artery, whereas most asymptomatic lesions were located in the area supplied by the lenticulostriate penetrators.[40,119] Besides being heterogeneous as to the pathological vessel wall reaction to hyper-



tension, these two different vascular systems may also differ in susceptibility to hypertension: more severe hypertension may be required for the development of arteriolosclerosis. Absence of nocturnal blood pressure dipping may play a role, as this was related to the presence of leukoaraiosis.^[256,286] This, however, remains for further study. Another possibility is that severe hypertension and cerebral arteriolosclerosis have a common, still unknown, cause. It is obvious that the two types of lacunar stroke are not mutually exclusive. More than one pathophysiological mechanism may be present in the various ischemic stroke subtypes.^[179] Especially hypertension is an important primary or attributing risk factor for stroke in general.^[53]

Trying to distinguish different ischemic stroke subgroups is more than just epidemiological hair splitting.^[58] To pinpoint well defined separate stroke entities may facilitate research into the underlying causes of the disease on a cell-molecular level. Although various genetic abnormalities are known that increase the risk of stroke, the molecular basis of atherosclerotic cerebrovascular disease in general remains elusive. Also, the screening of measurable genomic polymorphisms has so far not led to a substantial increase in insight into cell-biological abnormalities underlying the disease. The “lumping side” of the spectrum how to study the disease has led to considerable insight into the pathogenesis and treatment possibilities of cerebrovascular disease. The “splitting side” may just be a timely, additional route to follow in order to obtain further insights into the pathophysiology underlying ischemic brain infarction.





GENERAL DISCUSSION



In the studies described in this thesis we hypothesized that the frequently used division in ischemic stroke subtypes, if founded on differences in underlying pathophysiology, should be reflected in differences in prognosis. In this respect recurrent stroke, functional outcome, and mortality were studied in a series of 998 first-ever cerebral infarct patients, prospectively registered in the Maastricht Stroke Registry. The second goal of the study was to measure prognosis, given the current array of therapeutic and secondary preventive measures, which would allow better estimates on prognosis from an individual patient's perspective. The study, therefore, is not a "natural history" study of ischemic stroke. During the study period the Maastricht University Hospital was equipped with a stroke unit. Thrombolysis was not yet implemented during the period, but treatment with aspirin as soon as possible following stroke was, after the results of the IST became available. Carotid endarterectomy was performed within the ECST, until the end of this study, when benefit was shown for selected patients, with immediate implementation of the evidence. However, on the average, approximately twenty patients per year had carotid endarterectomy thereafter. Beside the strategy of risk factor modification following first stroke, aspirin was generally prescribed as a secondary preventive measure.

A drawback of the study may be that, as final follow-up was in 1995, findings may be a bit out of date, and may no longer be applicable today because changes in acute stroke treatment or better secondary prevention measures may have changed prognosis. Such measures may be: admittance to a stroke unit, thrombolysis, treatment with anti-platelet regimes better than ASA, or treatment with statins or ace-inhibitors. However, thrombolysis can only be performed in a small percentage of patients, whereas new anti-platelet regimes unlikely change prognosis dramatically. Statins were not generally prescribed during the study period. If changes in therapy had changed prognosis significantly in a more to-date study, had such study been performed, it might also have blunted relationships as we studied them. In that sense, the timing of the present study is not to its disadvantage. Therefore, findings in this study seem largely valid, especially those concerning inferences made with regard to underlying stroke causes.

With respect to *mortality* we showed that patients with a lacunar infarct, compared to both atherothrombotic and cardioembolic infarct, have a statistically significant better prognosis. Patients with an atherothrombotic infarct have a better prognosis for mortality, compared to those with a cardioembolic infarct.



However, even lacunar stroke is a life-threatening affliction in the patient's near future. Initial stroke severity appeared a strong, independent predictor of future death. From our data we argued that for reduction in mortality following stroke, most is to be expected from treatments that lower initial stroke severity. Our data argue in favor of early admittance of stroke patients to a stroke unit, the implementation of thrombolysis in all hospitals that admit stroke patients, whereas a diligent search for potential neuroprotective strategies should continue, possibly in conjunction with the evaluation of recent MRI possibilities, especially with respect to diffusion and perfusion measurement early following stroke onset.

We found no differences in *stroke recurrence* rates between the ischemic stroke subtypes. On Kaplan-Meier testing however, there was a statistically significant difference between both lacunar and atherothrombotic infarcts on the one hand, and cardioembolic infarcts on the other. There was no difference between lacunar and atherothrombotic infarcts. We found an early clustering of stroke recurrences in both atherothrombotic and cardioembolic, but not in lacunar stroke patients. This finding supports the hypothesis of an underlying diseased large vessel, or cardiac source of embolism, respectively, with an ongoing, active source of embolism in both conditions, whereas in lacunar infarct patients a new lacunar infarct results from the occlusion of another perforating artery, a process apparently more evenly distributed in time. These data on recurrent stroke sustain the validity to consider the stroke subtypes as separate, ischemic stroke entities. Somewhat unexpectedly, we found chronic obstructive pulmonary disease as an independent predictor of stroke recurrence in all three subtypes, probably reflecting arrhythmogenesis of sympathomimetic pulmonary drugs, or influence of associated pulmonary infections as risk factor for stroke. Much has been written on the possible role of especially *Chlamydia Pneumoniae* in this respect, but the evidence regarding stroke is conflicting. However, considering the evidence for a possible role of micro-organisms in causing, or more likely sustaining atherosclerosis or plaque instability, potential therapeutical options in ischemic stroke should further be evaluated.

We found a high degree of similarity with regard to the *type of recurrent stroke* and that of the first stroke, which may point to homogeneity in underlying stroke cause over time in the particular stroke subtypes as distinguished in the present study. Our data on recurrent stroke location concur with this idea. However, we also pointed out that the vascular pathology in lacunar and atherothrombotic



stroke may be similar, namely atheromatosis in both, as the two stroke subtypes are not mutually exclusive over time. Because the difference as to the clinical phenotype of the two stroke subtypes is larger than the similarity, different types of atheromatosis may be distinguished at the cell-biological level; a hypothesis that remains for future study.

Hypertension was detected as a risk factor for stroke recurrence in patients with first lacunar stroke. Hypertension was also independently related to a lacunar stroke subtype, which probably has arteriolosclerosis as underlying vasculopathy. However, whether this represents a causal relationship, or whether hypertension and cerebral small vessel disease, especially small vessel arteriolosclerosis, have common determinants, should both remain optional for further study. Another possibility is that the relationship between hypertension and the various features of lacunar stroke progression over time, is due to a too diligent treatment of hypertension. Especially in lacunar stroke patients night time blood pressure dipping may be preserved, which may render these patients more liable to the effect of unduly night time blood pressure lowering, that eventually results in extension of leukoaraiosis, and an increase in the number of small deep silent lesions, or both.

Our data further sustain the idea of two separate lacunar stroke entities. Such distinction, besides being relevant for patient management, may facilitate the idea of different determinants for different phenotypes of cerebral small vessel disease. This should have consequences for further study into the nature of these entities on a cellular level.





CHAPTER 10



SUMMARY



In the *introduction* we discussed the importance of valid prognostic data for individual patient management. One of the aims of the study was to provide arguments to sustain the idea that separate ischemic stroke subtypes must be distinguished because of assumed, inherently differences in prognosis. Such distinctions could also be relevant for future study of possible genetic and molecular biological determinants of different types of underlying vascular pathology in stroke. Another goal was to try and distinguish separate clinical entities within the lacunar stroke type. Defining the clinical phenotype of a certain stroke subtype more accurately may facilitate future research into its vascular pathology.

In *chapter 2*, we described the Maastricht Stroke Databank (MSR), and the definitions and methods we used. This registry included 998 patients between July 1987 and March 1992 with a first ever ischemic brain infarction. Last follow-up was completed in May 1995. All patients were included prospectively and consecutively, including outpatients. Cerebral CT scanning was performed in 96 percent of all patients, and in 61 percent of all recurrent strokes. We are not aware of any other stroke registry that reported CT scan data in such rather high proportion in stroke recurrence following a first-ever brain infarct. In this chapter, we presented the baseline characteristics for the whole group, and data for mortality and stroke recurrence at 30 days, one year, and at the end of follow-up. Furthermore, we presented data on disability at stroke onset and at the end of the study.

This hospital based registry, with inclusion of outpatients, thus provided the opportunity to study an unselected series of patients, with every patient seen by a neurologist, and with most of them having a large array of ancillary investigations, whereas no patient was lost to our cross-sectional follow-up. Case ascertainment, therefore, especially in regard to subtype diagnosis and stroke recurrences, may have been more valid in this study than in other stroke registries.

In *chapter 3*, we compared the baseline characteristics of the Maastricht Stroke Registry with other, mostly Western registries. For reasons of comparison, we recalculated the data on distribution of ischemic subtypes in the other registries, as most of these were originally given as part of the whole stroke spectrum including PICH, SAB, and TIA. We found that our data on mortality and stroke recurrence, for both the whole group and the ischemic subtypes, were very



similar to those in the literature. This was an argument for the internal consistency and validity of our data.

In *chapter 4*, we presented data on mortality after first ischemic stroke, and the influence of different risk factors (predictors). We calculated mortality rates for 30 days, one year, and for the end of follow-up. To identify independent predictors, we used both logistic regression analysis and time dependent Cox analysis. Mortality was highest in the CE type and lowest in the LACI type at all points of measurement. Mortality was highest in the first year, and especially in the first month after stroke, for AT and CE. Kaplan-Meier analysis with log rank testing for significance showed a significant difference between the three stroke subtypes. In all patients, DM, high age, stroke subtype, and stroke severity were predictors for early mortality, whereas recurrent stroke just missed statistical significance. In LACI, DM and stroke severity were independent predictors. In AT, DM, high age, recurrent stroke, and stroke severity were predictors, while in CE high age and stroke severity were the only predictors for early mortality. Logistic regression analysis for one year mortality detected DM, IHD, high age, stroke subtype, stroke recurrence, and COPD as predictors, whereas ipsilateral carotid artery stenosis just missed statistical significance. In LACI, higher age and stroke recurrence were predictors, with hypertension just missing statistical significance. In AT, DM, high age, recurrent stroke, stroke severity and ICA stenosis were independent predictors of one year mortality, while in CE high age and stroke severity were predictors with DM just missing significance. In the time dependent analyses, stroke recurrence lost its significance in all types. In LACI, it added COPD as predictor. In AT, carotid stenosis lost significance. In CE, DM and COPD were added as predictors. So, in LACI, stroke severity was only a predictor of early mortality, whereas in AT and CE it remained an independent predictor over time. Recurrent stroke was an independent predictor of especially one year mortality in LACI and AT, but not in CE. DM was a significant predictor in AT but not in LACI, whereas its significance increased over time in CE. Our data indicate that a cerebral infarct significantly lowers life expectancy, not only early after stroke, but likely for the remaining survival period following stroke. Mortality rates and independent predictors of mortality vary significantly between ischemic stroke subtypes. This may reflect the difference in their underlying pathology, a difference that is consistent over time.



In *chapter 5*, we presented our data concerning stroke recurrence after first cerebral infarction, with the influence of different risk factors (predictors). There were no statistically significant differences in stroke recurrence rates between stroke subtypes at 30 days, one year, or at the end of follow-up. Log rank testing of survival free of recurrent stroke showed a difference between CE and both AT and LACI, but no difference between AT and LACI. The recurrence rates were higher in the first year, and especially in the first month for AT and CE. For LACI however, we found a more evenly distributed recurrence rate in time. Logistic regression analysis detected IHD and COPD as significant predictors in the whole group for early recurrence. When we analyzed the three subtypes separately, various point estimates indicated increased risk of recurrent stroke, but these were not statistically significant, probably due to the small numbers of events involved. At one year, IHD and COPD were independent predictors in the whole group. In the subtypes, DM was a strong predictor in LACI and CE. Time dependent analyses in the whole group showed DM, IHD, COPD and LA as independent predictors. In LACI, DM, asymptomatic lacunar lesions on CT, and LA were significant predictors. For the AT type, IHD, COPD, and an ipsilateral carotid artery stenosis were predictors, whereas in CE only DM was a predictor. The association of ASLA and LA in lacunar stroke points to a kind of coherence in underlying vascular pathophysiology, which is small vessel disease in most lacunar patients.

In *chapter 6* we investigated functional outcome after stroke, using the modified Rankin scale. At the end of the follow-up period, significantly more patients in the LACI type were functionally independent, compared to both AT and CE. There was no significant difference however between AT and CE. In stroke survivors, higher age, stroke subtype, degree of neurological deficit at first stroke, ASLA, LA, and stroke recurrence were independent predictors of unfavourable functional outcome. More severe neurological deficit was the most powerful predictor of unfavourable outcome, and lacunar stroke subtype the most powerful predictor of favourable functional outcome. In LACI, high age, stroke severity, and recurrent stroke were independent powerful predictors of unfavourable outcome. In AT, high age, severity, and recurrence predicted unfavourable outcome, and in CE: initial severity and ASLA. The classical vascular risk factors had hardly any effect on functional outcome. Therefore, unfavourable



functional outcome in stroke survivors is not very likely influenced by treatment of these classical risk factors. Attempts to lower the initial degree of stroke severity seem more promising in this respect.


In *chapter 7*, we explored the relationships between subtype and location of first brain infarction on one hand, and subtype of recurrent stroke (including PICH), and location of recurrent stroke on the other hand. We found that 57% of LACI, 83% of AT, and 94% of CE (as recurrent strokes) were of the same type as the first stroke. Of “true to type” lacunar recurrences, 70% occurred in the same brain territory as the first stroke. For “true to type” AT recurrences, this percentage was 79%. However, in CE only 39% of “true to type” recurrences were in the same brain territory as the first stroke. First stroke subtype was an independent predictor of lacunar versus nonlacunar recurrent stroke subtype. Hypertension also predicted a lacunar type recurrence. In the same way, first AT type predicted an AT recurrence, but here however, hypertension was inversely related to AT stroke subtype recurrence. So, in this chapter we found further evidence for the existence of pathophysiologically different vasculopathies underlying different brain infarction subtypes, as stroke subtype manifestation, measured by recurrent stroke subtype, and the area of recurrent stroke occurrence, were consistent over time.

In *chapter 8*, we provided further evidence for the existence of two separate subtypes of lacunar stroke: LACI with asymptomatic lacunar lesions on CT versus LACI without such lesions (LACI+ versus LACI-). As for baseline characteristics, LA was significantly more frequent among LACI+. The LACI+ type also had a significantly higher mortality rate and a significantly higher recurrence rate at the end of the follow-up period. LACI+ versus LACI- was an independent predictor for stroke recurrence, but not for mortality. LACI+ survivors had worse functional outcome, but not statistically significant so. Prognosis for major handicap or death however was significantly worse for LACI+. LACI+ versus LACI- however, was not an independent predictor for unfavourable functional outcome (or unfavourable functional outcome or death), despite the numerically strong association. When we restricted the analysis to lacunar patients with both ASLA and LA, hypertension was a significant predictor for the +/+ versus -/- type. Furthermore, five of the six PICH recurrences occurred in



the LACI+/+ type, being almost one third of all recurrences in this subgroup. Time interval between index and recurrent stroke was shorter in the LACI -/- group. Our findings support the existence of two lacunar entities, with different underlying risk factors, association with LA, and prognosis for mortality, (type of) recurrence, functional outcome and underlying vasculopathy: small vessel atheromatosis in patients with a single symptomatic lacunar stroke, and arteriolo-sclerosis in those with one or more silent lacunar lesions.





CHAPTER 11



SAMENVATTING



De hypothese dat de gebruikelijke indeling van ischemische herseninfarcten, als deze is gebaseerd op verschillen in onderliggende vasculaire pathofysiologie, ook tot uiting zou moeten komen in de prognose van patiënten met een herseninfarct, lag ten grondslag aan de onderzoeken in dit proefschrift. Een tweede doel was het leveren van aanvullend bewijs voor het bestaan van een onderverdeling binnen het lacunaire herseninfarct: patiënten met een of meerdere asymptomatische lacunaire laesies, tegenover patiënten zonder een dergelijke laesie. Vanuit dit perspectief onderzochten we het optreden van eventuele recidief herseninfarcten of hersenbloedingen, functionele uitkomst en sterfte in een groep van 998 patiënten met een eerste herseninfarct, geregistreerd in de Maastricht Stroke Registry.

We vonden een grote mate van overeenkomst tussen het subtype van het eerste herseninfarct en een tweede beroerte, infarct of bloeding. Dit wijst in de richting van een consistentie in onderliggende vaat pathologie in de tijd in de onderscheiden typen herseninfarcten: lacunaire, atherothrombotische en cardioembolie infarcten. Deze verschillende vormen van vaat pathologie sluiten elkaar echter niet uit, en meer dan één type vaat pathologie kan bij een individuele patiënt voorkomen. Recidief beroertes komen significant meer voor bij cardioembolie dan bij lacunaire of atherothrombotische herseninfarcten. Er bestaat een vroege clustering van recidief beroertes in atherothrombotische en cardioembolie herseninfarcten, passend bij de theorie van een in de tijd geclusterde embolische activiteit van een ziek groot vat of van een cardiale emboliebron. Bovendien komen recidieven bij lacunaire en atherothrombotische infarcten vooral voor in hetzelfde hersengebied als het eerste infarct, in tegenstelling tot recidieven bij cardioembolie infarcten. Opvallenderwijs vonden we chronisch obstructieve longziekten als onafhankelijke predictor van recidief beroerten, mogelijk als arrhytmogene bijwerking van vaak bij deze patiënten gebruikte medicatie, of als gevolg van de bij deze patiënten vaak optredende infecties.

Ten aanzien van sterfte hadden lacunaire infarcten een betere prognose dan de beide andere typen. De atherothrombotische infarcten hadden een betere prognose dan de cardioembolie. Het subtype van een eerste herseninfarct, en de mate van initiële neurologische uitvalsverschijnselen waren de krachtigste voorspellers van sterfte. Uit deze onderzoeken volgt dat de beste manier om de sterfte na een eerste herseninfarct terug te dringen, bestaat uit het verminderen van de initiële



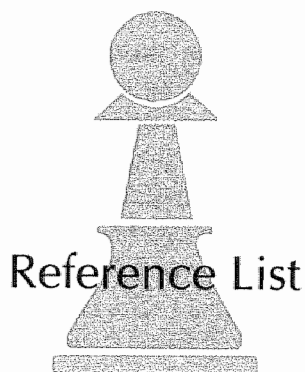
uitvalsverschijnselen. Methoden om dit te bereiken zijn opname op een stroke unit, thrombolyse, en mogelijk in de toekomst neuroprotectie.

Patiënten met een lacunair herseninfarct hadden ook de beste prognose voor de uiteindelijke mate van functionele onafhankelijkheid. Ook in dit opzicht bleken de initiële mate van uitvalsverschijnselen en het infarct subtype de krachtigste voorspellers. De klassieke vasculaire risicofactoren bleken nauwelijks enig effect op de uiteindelijke mate van invaliditeit te hebben.

Binnen het lacunaire subtype hadden de patiënten met een of meer asymptomatische lacunaire laesies de slechtste prognose voor sterfte, recidief beroerte en functionele uitkomst. Bovendien bleek een grote meerderheid van de recidief hersenbloedingen in deze groep patiënten voor te komen, wijzend op een specifieke onderliggende aandoening van de kleine hersenvaten (arteriolosclerose of lipohyalinosis), in tegenstelling tot microatheromatose bij patiënten zonder asymptomatische lacunaire infarcten.

Concluderend hebben onze studies nieuwe argumenten gevonden voor het bestaan van verschillende typen herseninfarcten met verschillende onderliggende vasculaire pathofysiologie, en een hierop berustende verschillende prognose ten aanzien van het tijdstip van voorkomen en het type van recidieven, functionele uitkomst en sterfte.

Bovendien vonden we nieuwe argumenten voor het bestaan van een onderverdeling in de lacunaire herseninfarcten.





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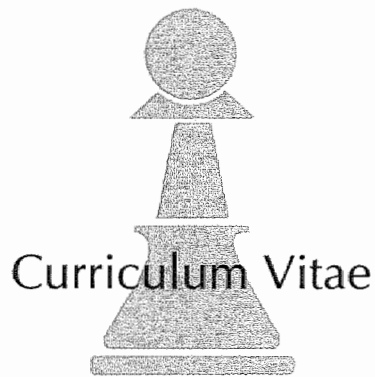
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